

CITIES  
WITHOUT  
SLUMS



# Windows of Opportunity for Urban Transformation

*The Catalytic Fund (CATF) and  
the Small Grant Facility (SGF)*

November 11, 2010

[www.citiesalliance.org](http://www.citiesalliance.org)

**Cities Alliance**  
Cities Without Slums

## *Catalytic Fund - Objectives*

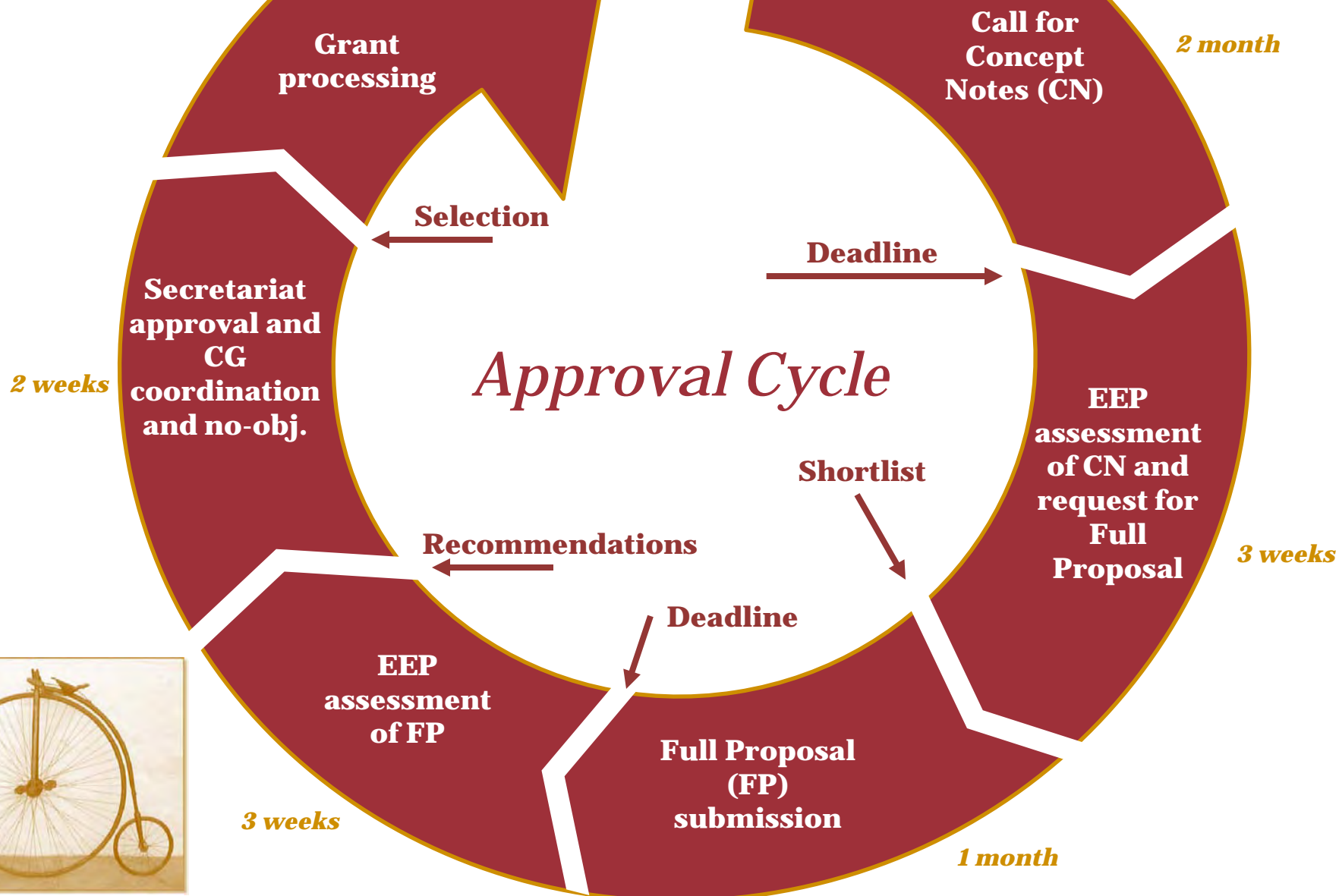


- Aims to have catalytic effects on initiating and enhancing urban transformation processes promoting more inclusive cities.
- Aims at advancing collective know-how through the learning that can be distilled from the project experiences and shared among CA partners, CA members and beyond.

## *Catalytic Fund - Key Characteristics*



- Competitive process
- Open twice a year
- Two step process (Concept Note and Full Application)
- Support by an expert evaluation panel (EEP)
- The grant size limited to US\$50,000-US\$250,000.  
Total Budget US\$2,000,000 (FY11)
- CA Sponsorship required



## *Screening Criteria - Two types*



### EEP Technical Selection Criteria

Implementation conditions

Impact

Cooperation

Innovation

Knowledge and Learning

### CA Strategic Portfolio Criteria

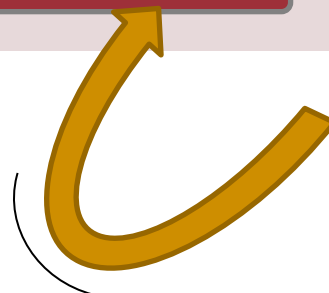
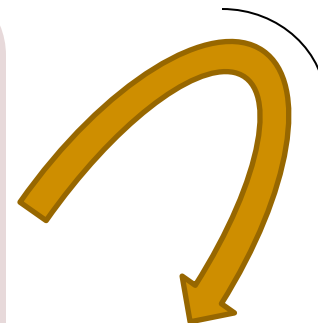
Geographical scope

Balance between MIC and LDC

Optimal member engagement

Knowledge gap-filling

Thematic balance





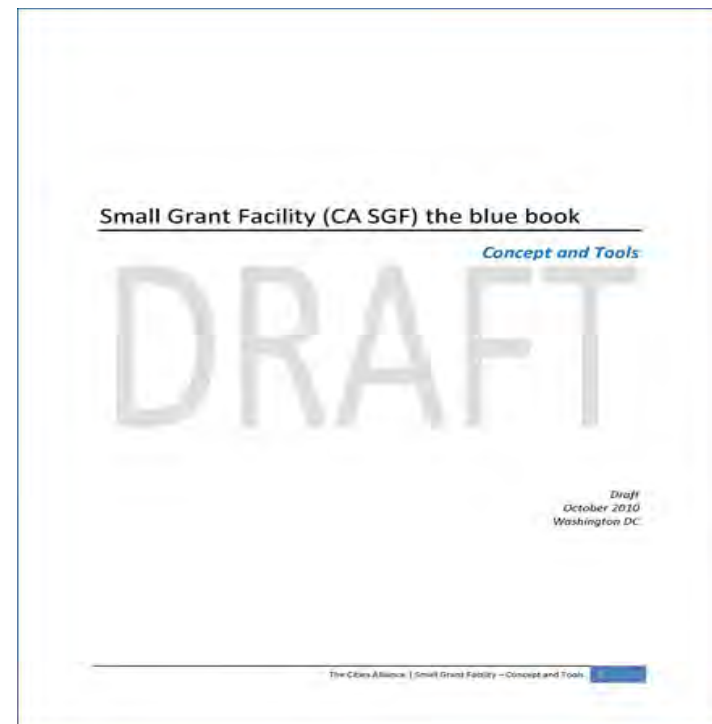
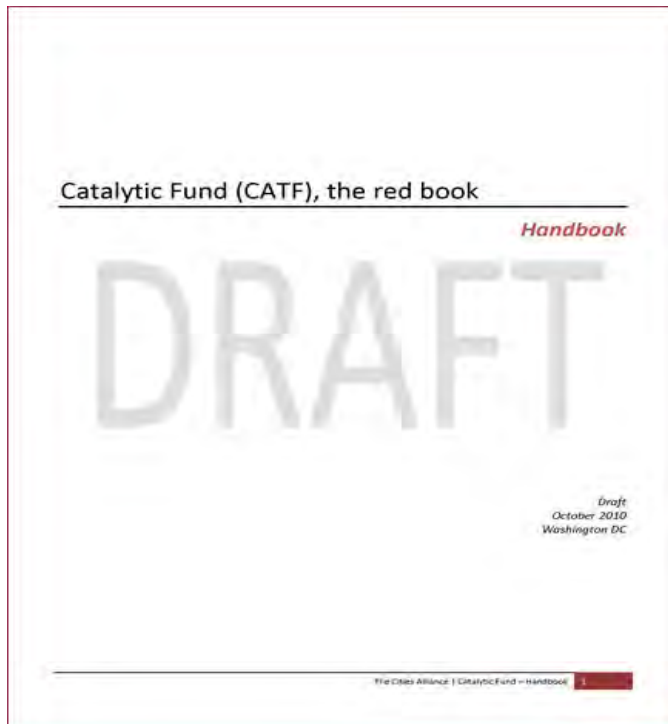
## *Timeline and how to apply*

- **1<sup>st</sup> Call: Open from January to end of February 2011 -> Selection by June 2011**
- **2<sup>nd</sup> Call: Open from August to end of September 2011 -> Selection by January 2012**
- **How to apply**

## *The Small Grant Facility*

- Same CATF objectives
- Open all year round for submission
- Quarterly competitive selection
- Support to CA members
- Grant size limited to US\$50,000, total budget US\$400,000 (FY11).
- Simplified process (Concept note, Internal screening, 1 year implementation)

## *More Information*



*Thank you*  
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## *Selection sub-criteria*

### **1. Implementation conditions**

- Capacity
- Cost-Effectiveness
- Results Framework
- Fiduciary Management
- Risks and Mitigations
- Co-Funding

### **2. Impact**

- Scalability
- Transferability
- Institutionalization
- Follow-up investments
- Targeting the objective

### **3. Cooperation**

- Ownership
- Harmonization
- Alignment
- Partnerships, Dialogue and Consultations

### **4. Innovation**

- Innovative design, process and products

### **5. Knowledge and Learning**

- Learning from M&E
- Learning and dissemination
- Applicability