Consultative Group Meeting

Cities Alliance
Cities Without Slums

Manila, 8-9 November 2007

Slum Upgrading
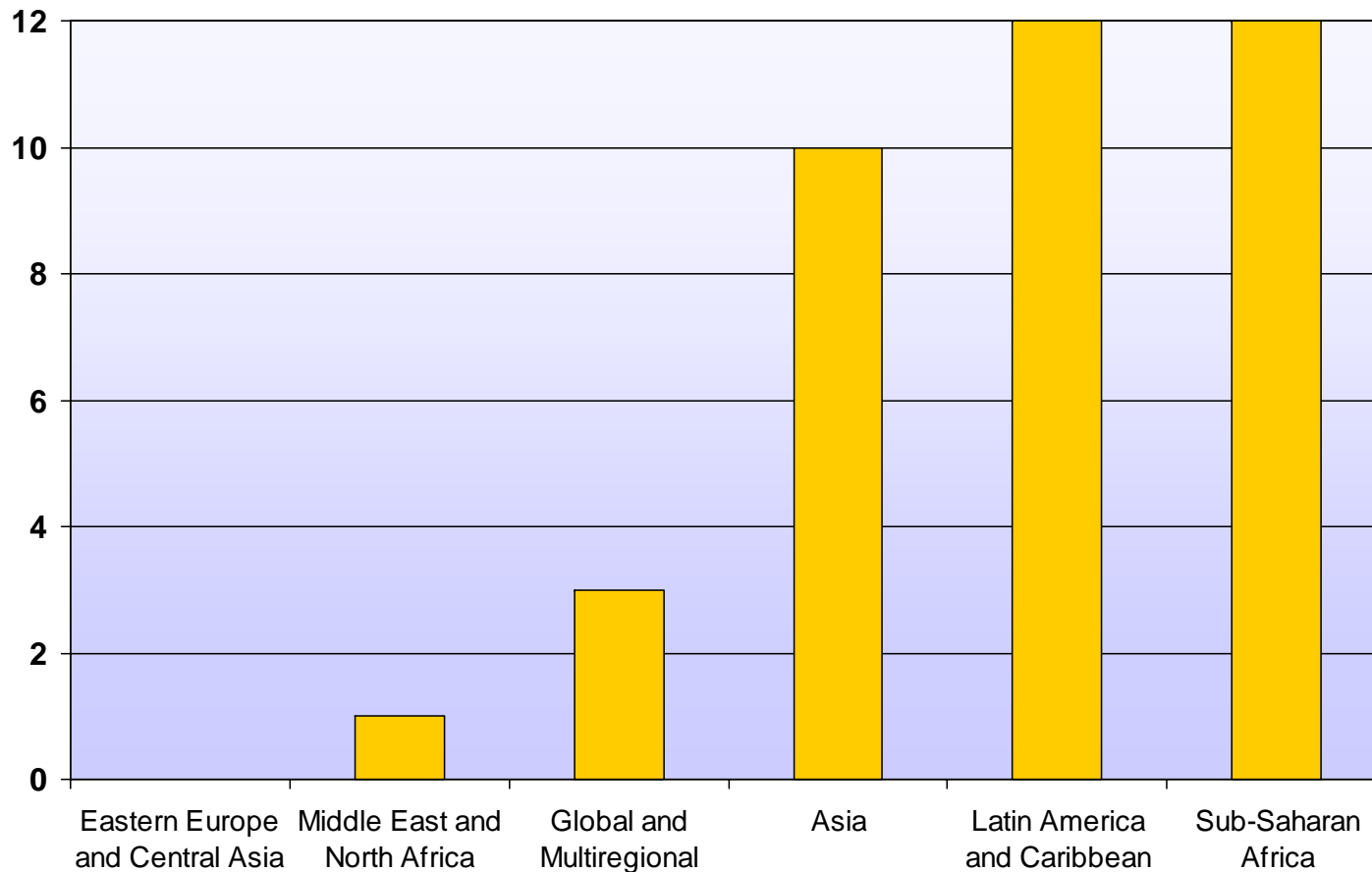
Farouk Tebbal, Andrea Merrick

Slum Upgrading on the Global Agenda

❖ **Unprecedented national urban upgrading programmes – upgrading at scale**

- Brazil, India, South Africa, Morocco, Philippines

❖ **Slum prevention - planning for future growth**

- Ecuador, Senegal, Mali

❖ **Land Regularisation – improving living standards in slums**

- Brazil, Mbabane (Swaziland), Mali, Colombia

❖ **Large-scale community driven programmes**

- Thailand, South Africa, Philippines

❖ **Reassessing subsidies, mobilising private sector**

- Chile, Brazil, Jamaica

❖ **Metropolitan governance**

- Manila, Lagos

❖ **Transferring knowledge - South-South exchanges**
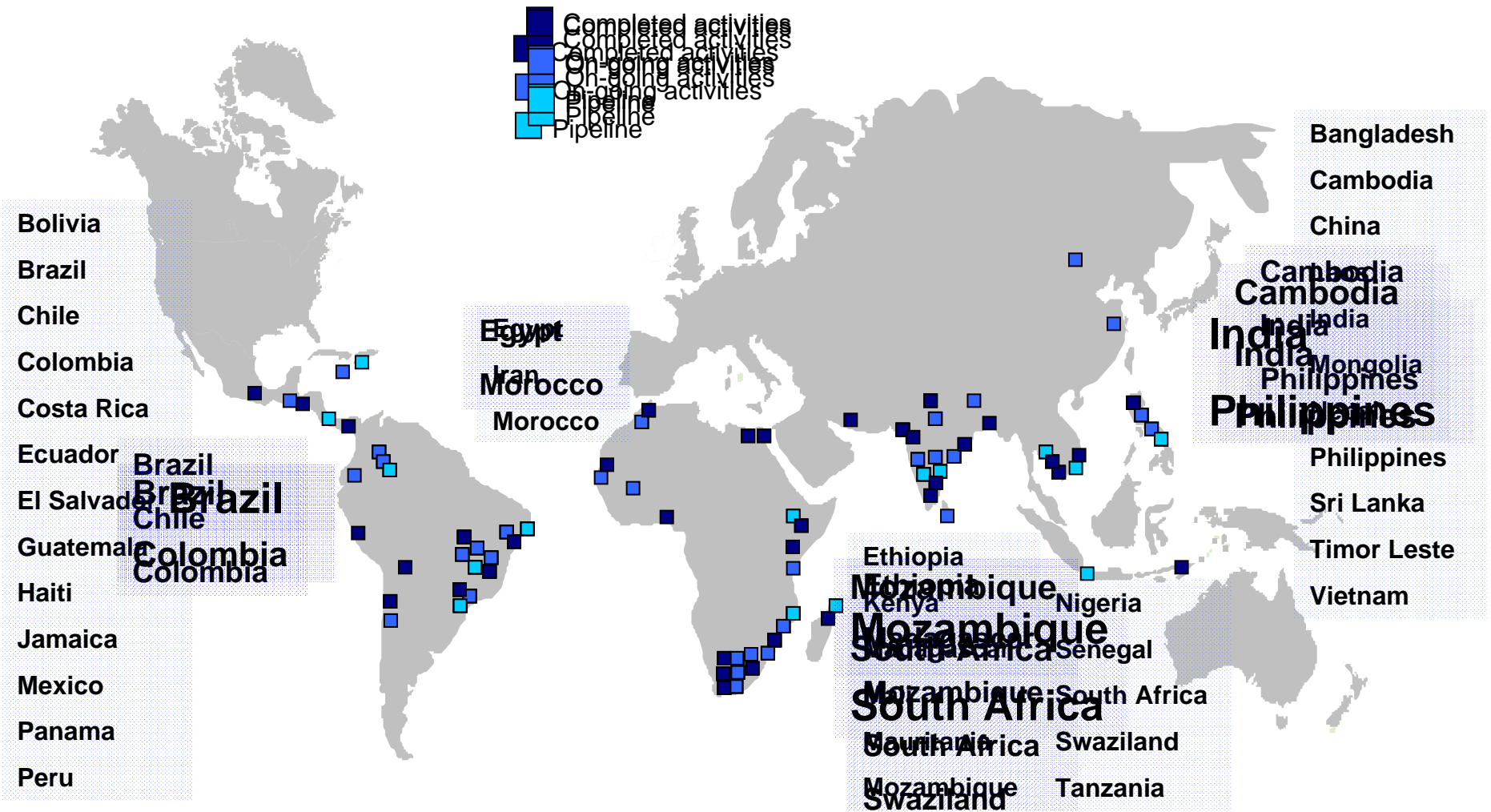
- São Paulo, Metropolis

Slum Upgrading Portfolio - Overview
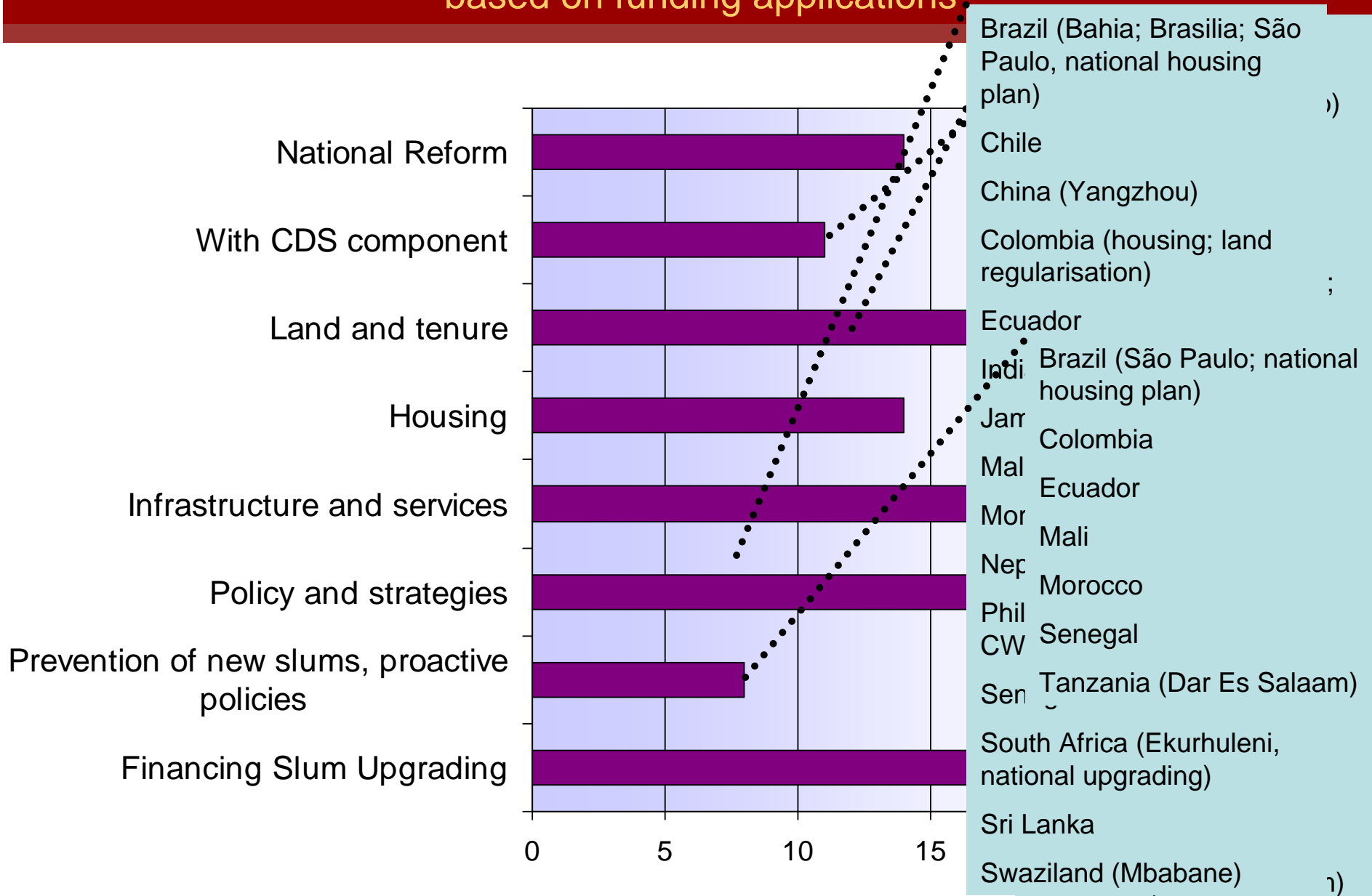
Active Slum Upgrading Projects by Region (38 Total)

Longer term, Systemic Engagement

Countries with 3 or more slum upgrading activities

Countries with 1 or more slum upgrading activities

Completed activities
Completed activities
On-going activities
On-going activities
Pipeline
Pipeline

Bolivia
Brazil
Chile
Colombia
Costa Rica
Ecuador
El Salvador
Guatemala
Haiti
Jamaica
Mexico
Panama
Peru

Brazil
Brazil
Chile
Colombia
Colombia

Egypt
Iran
Morocco
Morocco

Ethiopia
Mozambique
Kenya
Madagascar
South Africa
Mozambique
South Africa
Mauritania
South Africa
Mozambique
Swaziland

Nigeria
Senegal
South Africa
Swaziland
Tanzania

Bangladesh
Cambodia
China
Cambodia
India
Cambodia
India
Mongolia
Philippines
India
Philippines
Philippines
Sri Lanka
Timor Leste
Vietnam

Focus of Slum Upgrading Portfolio
based on funding applications

National Reform

With CDS component

Land and tenure

Housing

Infrastructure and services

Policy and strategies

Prevention of new slums, proactive policies

Financing Slum Upgrading

0   5   10   15

Brazil (Bahia; Brasilia; São Paulo, national housing plan)

Chile

China (Yangzhou)

Colombia (housing; land regularisation)

Ecuador

Brazil (São Paulo; national housing plan)

Colombia

Ecuador

Mali

Morocco

Senegal

Tanzania (Dar Es Salaam)

South Africa (Ekurhuleni, national upgrading)

Sri Lanka

Swaziland (Mbabane)

Evaluations of Completed SU Projects 2007

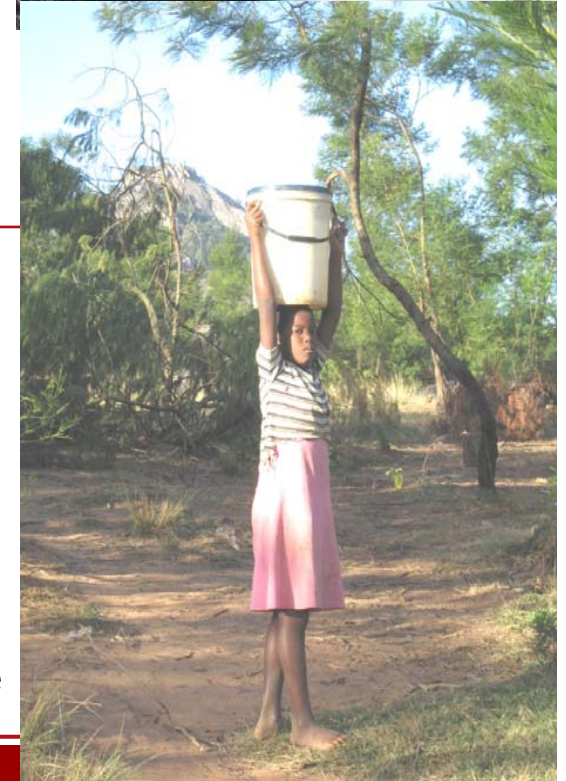| Field Evaluation	7 Desk Evaluations
Mbabane, **Swaziland**	1. Building an Enabling Strategy for Moving to Scale, **Brazil** 2. Scaling up Upgrading in the Metropolitan **Mexico** City Area 3. **Ethiopia** Housing Sector Study 4. "Bairro Legal" Programme of São Paulo, **Brazil** 5. Improving Access of Poor to Basic Urban Services, Ludhiana, **India** 6. City Upgrading Strategy for Urban Dili, **Timor-Leste** 7. Housing and Urban Development Support to a Pro-Poor National **Policy, Brazil**

2007 Field Evaluation
Development of a Comprehensive Urban Upgrading Programme for Mbabane, Swaziland

Positive Out-comes	❖ Empowerment and engagement of the poor ❖ Overall plan for upgrading developed at settlement level ❖ Progress on land ownership regularisation, ❖ Provision of titles	
Short-comings	❖ Resources (of community and private sector) for upgrading not mobilised ❖ Central HIV/AIDS activities not undertaken (co-sponsor's contribution did not materialise)	
Lessons	❖ Provide for the implementation of a few small-scale activities or 'quick wins' ❖ Strike a balance between expectations and available resources ❖ Weigh project complexity against capacity to deliver ❖ Identify follow-on investment at earliest stage	

Lessons from Slum Upgrading Applications

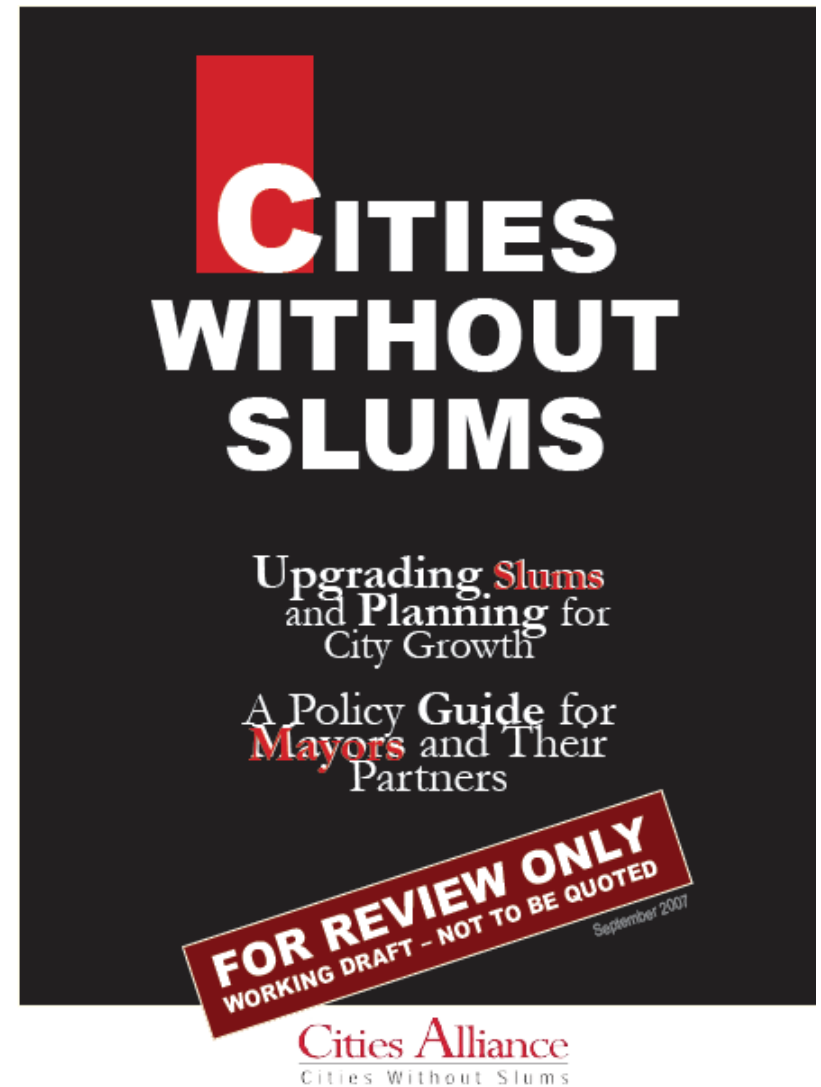| CA Criteria	Strengths	Weaknesses
Coherence of Effort	Multiple sponsors, actively involved in project design, implementation, M&E **Morocco, Dar es Salaam, Hyderabad**	Co-sponsor unaware of or yet to confirm co-sponsorship; **Sri Lanka, Mali, Cairo**
Co-financing	Cash co-financing by local/national authorities **Tshwane, Montevideo, Brazil, Mali,**	In-kind contributions difficult to assess and/or not directly related to project **Haiti**
Partnerships	Early & meaningful involvement of private sector **(Chile)** and communities **(Mbabane)**	Private sector and/or the urban poor not involved **Jamaica**
Follow-on Investment	Investment partners identified and engaged early on **Sri Lanka, Tshwane, Bahia, São Paulo, Chile**	Investment partners left to be identified at a late stage or final workshop **Haiti, Mbabane, Cambodia, Senegal**

Lessons from Experience and Future Directions

- **A city problem, not a slum problem**

    consider the SU + CDS Approach

- **Sustaining involvement of Alliance members**

    consider long term partnerships

- **Impacts and results**

    strengthen monitoring and evaluation processes

- **Building capacity and ownership**

    support client execution

- **Anticipating future urban growth**

    make land available

Knowledge Product:
The Slum Upgrading Policy and Resource Guide

"Cities are not the problem, they are the solution"

- ❑ Rethinking slums: myths and realities
- ❑ Preventing the growth of new slums
- ❑ Policies for impacts at scale
- ❑ A call for change

THANK YOU

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