

Financing of African Cities

CDS

Africa Regional

Summary

The study's purpose is to address the issues of financing African urban growth in the next decades. The African continent has the highest urban growth rate on the planet which will need considerable investment in order to sustain & to clear up accumulated backlogs. At the same time, decentralization has resulted into increased responsibilities for local government, but in most cases, institutional reforms were carried out without the transfer of a sufficient level of resources, and local capacities in governance and project management are weak.

Objectives

- The main objective of the study is to clarify the debates around how best to finance African cities and to enlighten the choices of African decision-makers at the local and national levels.

Activities

The study will be carried out in five steps: (i) a summary of basics of investment theory and financing investments; (ii) an inventory of existing systems in Africa and typologies (by countries, by instrument types); (iii) a set of case studies identified as particularly relevant to the identified issues; (iv) a perspective on existing systems, based on a literature review on themes and methodological questions framing current debates and using information from experiences in other continents; (v) and, finally, a collection of information sheets informing the target audience on various techniques drawn from the perspective study, along with concrete data on how to proceed.

Submission

Submitted by: AfD

CA Sponsor: AfD,
UCLG

Implementation

Implemented by: Cities
Alliance Secretariat
CA Monitor:
Thierry Paulais

Budget and Time

Request to CA: \$ 325,000
Co-financing: \$ 375,000
Total Budget: \$ 700,000

Duration:
36 months

Expected Impact and Results

- the publication of a concise book (150-200 pages) simultaneously in English and in French,
- a campaign of promotion and dissemination through different events in the African continent (launching event and seminars),
- webpage or topic into the CA's website

City Development Strategy for Greater Lomé

CDS

Sub-Saharan Africa – Togo

Summary

The proposed activity is the preparation of a CDS for metropolitan Lomé (including the city and six surrounding districts) comprising a participative diagnostic of the current situation, a territorial development framework for the next 20 years and a 10-year action plan.

Objectives

- Conduct a participative diagnostic and local consultations to determine (i) the key urban issues related to: the state of infrastructure, mobility, deficiencies in basic service delivery, municipal finance, the environment, SWM, pollution, crime, storm water drainage, provision of water and electricity, housing, etc.; and (ii) to identify strengths and opportunities.
- Elaborate a 20-year territorial development framework.
- Define a program of interventions, including a priority action plan to reduce urban poverty and to realize the MDGs, which includes a financing strategy, implementation plan and monitoring and evaluation mechanisms.

Activities

- Establish a Steering Committee and Technical Cell.
- Develop a communication and consultation strategy.
- Conduct a detailed, participative diagnostic.
- Establish thematic working groups for preparation of the strategy.
- Conduct thematic studies and/or use existing studies where possible.
- Internalization and validation among stakeholders of strategic sectoral studies to define the priority axes of development.
- Develop an Urban Economic Profile and a plan for economic development of the metropolitan area and integrate with the CDS.
- Analyze the institutional framework and capacities of local actors.
- Operationalize the strategy—develop short and medium-term action plans, prepare financing strategy and define M&E mechanisms.

Submission

Submitted by: Mayor of Lomé, Ministry of Cities and Urban Planning (MVU), Association of Communes of Togo (UCT)

CA Sponsor:
AFD, UNDP, World Bank, UN-HABITAT

Implementation

Implemented by: City of Lomé, MVU, UN-HABITAT, UCT, Citafric
CA Monitor: Pascale Chabrilat

Budget and Time

Request to CA: \$ 370,000
Co-financing: \$ 305,000
Total Budget: \$ 675,000

Duration:
18 months

Expected Impact and Results

- Improved institutional framework for the metropolitan area, enabling a more integrated effort to reduce urban poverty.
- Reinforcement of the role of local stakeholders in urban development and improved participatory mechanisms for urban management.
- More strategic investments and a better understanding of the relationship of costs to benefits and the needs of vulnerable and at-risk populations.
- Rational allocation of local resources (financial, technical and human) necessary for the operation and maintenance of infrastructure.
- Identification and better understanding of the challenges of the metro area and of proposals for addressing the challenges and improving the urban area.

Preparatory Grant for Mozambique State of Cities Report

CDS

Sub Saharan Africa – Mozambique

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| Summary | | The National Association of Municipalities of Mozambique is seeking funding to prepare a full-scale proposal for submission to Cities Alliance for a State of the Mozambican Cities Report. | | |
| Objectives | | Activities | | |
| The primary objective is to complete a proposal for submission to Cities Alliance for a State of Mozambican Cities Report. A State of the Cities Report will provide much needed information on Mozambican cities and create a sound foundation for good urban governance. | | Hiring of consultants to work closely with the National Association of Municipalities of Mozambique to gather information and achieve consensus on what the final proposal should contain; Identification of the stakeholders to be involved; Mobilization of the relevant actors; Review of available data (such as Census 2007, implementation of PARPA, different municipal and national development reports) and assessment of their reliability, and consolidation of all gathered information; Consultative process through workshops, direct contacts, etc.; Preparation of the application; and Submission of final full application to Cities Alliance | | |
| Submission | | Implementation | Budget and Time | |
| Submitted by: National Association of Municipalities of Mozambique | CA Sponsor: CIDA-PSU | Implemented by: Nat'l Assoc. of Municipalities of Mozambique CA Monitor: J. Adrian | Request to CA: \$ 52,500 Co-financing: \$ 7,000 Total Budget: \$ 59,500 | Duration: 6 Months |
| Expected Impact and Results | | | | |
| Provide avenues for effective management for the Mozambican cities & initiate common strategic approaches towards sustainable growth and urban poverty in Mozambique. assist in the development of city development strategies, including economic development, effective responses to HIV/AIDS, benchmarking performance, cities infrastructural issues and inter-governmental fiscal performance. To provide a forum for cities to interact with each other & allow for exchange of experience & best practices among the participating municipalities. | | | | |

Slum Upgrading and CDS for Nampula City, Mozambique

CDS/Slum Upgrading

Sub-Saharan Africa – Mozambique

Summary

Nampula, Mozambique's third largest urban center, is experiencing explosive urban growth (6.8% annual), which has been mainly unplanned. More than 80% of the population live in high density squatter settlements with appalling housing, environmental and living conditions and deprived of basic infrastructure and services. The overall objective of the activity is to contribute to poverty reduction of the population of Nampula City and surrounding areas through improved planning practices.

Objectives

- To build technical and institutional capacity within the Municipality and increase public participation for ensuring more adequate planning and management of human settlements in Nampula City and surrounding rural areas.
- To design in a participatory and consensual manner a city-wide slum upgrading plan for a gradual elimination of slums in Nampula City.
- To establish clear and widely accepted guidelines for the integrated and harmonised development of Nampula City and surrounding rural areas with a comprehensive vision of the city's growth in the medium to long term.

Activities

- Preparation of a City-Wide Slum Upgrading Plan through a bottom-up approach –
 - Working through Municipal Neighbourhood Administrations, the CWSUP will mainly consist in the elaboration of 11 General Urbanisation Plans-one for each neighbourhood of the city.
- Delineation of a City Development Strategy for integrating Nampula in a wider territorial context, including:
 - Policy guidelines for transforming rural settlements into satellite towns
 - Policy guidelines for the expansion of infrastructure and services
 - Strategy for the attraction and spatial distribution of major public and private investments in the City and District

Submission

Submitted by:
Nampula Municipal Council

CA Sponsor:
UN-Habitat and UCLG

Implementation

Implemented by: Nampula Municipal Council, UN-Habitat, University Eduardo Mondlane, Embassy of Denmark
CA Monitor: Andrea Merrick

Budget and Time

Request to CA: \$ 353,000
Co-financing: \$ 507,000
Total Budget: \$ 860,000

Duration:
24 months

Expected Impact and Results

- Increased technical and institutional capacity of the Municipality for delivering urban planning and management activities through public participation.
- Slum dwellers duly consulted and integrated in the planning process in a transparent and democratic manner
- All conditions created for initiating a large scale follow-up investment phase which will consistently improve the urban conditions of Nampula City in the medium term.
- A constructive dialogue promoted between municipal, district and provincial authorities, and established proper settings for reinforcing urban-rural linkages in the medium to long-term, which are vital to the economy and sustainable development of the city.

Sub-Saharan Africa

Summary

UN-Habitat, UNESCAP and ACHR produced a very successful series of Quick Guides for policy makers in Asian Cities. It is now proposed to adapt this series for Sub-Saharan Africa as part of the Cities Alliance Slum Upgrading work programme.

Objectives

- To provide a tool to assist in building the capacity of national and local officials and policy makers in Sub-Saharan Africa who need to quickly enhance their understanding of housing-related issues so as to be able to make better, informed decisions; and
- to provide an opportunity for UN-Habitat to begin addressing its goals set out in its Medium Term and Strategic Institutional Plan (2008-2013)

Activities

- Adaptation and development of the series, "Housing the Poor in Asian Cities" to produce seven easy-to-read and well designed Quick Guides suitable for the African context in English, French and Portuguese, in both print and electronic formats covering (a) urbanization, urban development and housing policies, (b) approaches to low-income housing, (c) land for housing the urban poor, (d) evictions, (e) housing finance, (f) community organization and development, and (g) rental housing. help with policy advocacy, awareness and capacity building in the housing sector.

Submission

Submitted by: UN-Habitat and Cities Alliance Secretariat

CA Sponsor:
UN-Habitat

Implementation

Implemented by:
UN-Habitat
CA Monitor:
Andrea Merrick

Budget and Time

Request to CA: \$ 193,500
Co-financing: \$ 67,000
Total Budget: \$260,500

Duration:
15 months

Expected Impact and Results

The goal of this project is to build capacities of national and local government officials and policy makers, civil society organizations and organizations of the poor in Africa to address the issue of low-income housing more effectively in their countries. It is also envisioned that an increasing number of policy and decision makers at the local and national level will be made aware of the principles and messages contained in the quick guides and begin promoting them.

Sub Saharan Africa – Ghana

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| Summary | As a result of the MOU signed by the Government of Ghana with CA in Feb. 2008, and in keeping with its aim to "engage the legal framework for the development and management of the local government finance and credit market", the Ministry of Local Government, Rural Development & Environment is requesting preparatory funding for the strengthening and capacity building of the Municipal Finance and Management Initiative (MFMI) Secretariat. |
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| Objectives | Activities |
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| <p>The main objective of these preparatory activities is to ensure the passage of a proposed Finance Bill aimed at urban poverty alleviation. The proposed activities can be summed up in two specific objectives:</p> <ol style="list-style-type: none"> 1. Organization of workshops and seminars targeting legislators to ensure the passage of the proposed Finance Bill to be debated in Parliament in October 2008. 2. Capacity building of the MFMI Secretariat through the improvement of its technological infrastructure and the strengthening of staff. | <ol style="list-style-type: none"> 1. Procurement of equipment and software 2. Development of programs 3. Development of workshop programs 4. Organization of workshops 5. Report writing and submission |

| Submission | Implementation | Budget and Time | | |
|---|---|--|---|----------------------------------|
| <p>Submitted by: Ministry of Local Government, Rural Development and Environment</p> | <p>CA Sponsor: AfDB, UN-Habitat, USAID, World Bank</p> | <p>Implemented by: World Bank & Min. of Local Gov't, Rural Dev. & Environment</p> <p>CA Monitor: K. Milroy</p> | <p>Request to CA: \$ 75,000</p> <p>Co-financing: \$ 73,800</p> <p>Total Budget: \$ 148,800</p> | <p>Duration: 4 months</p> |

| Expected Impact and Results |
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| <p>The intent of the Secretariat is to serve as a link between various levels of government and advocacy groups, thus ensuring sustained interaction between all levels of involved stakeholders. The Secretariat will work toward consensus building around policy issues relevant to urban poverty, and providing visibility for human settlement development advocacy groups. The passing of the Local Government Finance Bill is an important step in providing a new course for municipalities in addressing urban poverty alleviation and urban sprawl.</p> |

Building Partnerships for Cities Without Slums in Western Africa

CDS/Slum Upgrading

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| Summary | In developing its medium-term strategy, the Cities Alliance drew upon its experiences in countries where Cities Alliance funding has had the most impact, and recognized the important role that Cities Alliance regional advisors have had in these impacts. Funds are being provided from the Africa Facility for the continuation of a regional advisor to support countries and CA members in West Africa, with a focus on French-speaking countries. |
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| Objectives | Activities |
|--|---|
| <ul style="list-style-type: none"> ▪ To hire a dedicated full-time, locally-recruited consultant to be based in West Africa to provide outreach, knowledge sharing, technical assistance and other support to Cities Alliance members and clients in the sub-region. ▪ The Regional Advisor will work with Cities Alliance members in the West Africa region, with special focus on Francophone countries, to increase Cities Alliance contributions towards systemic change and to scaling up successful approaches to urban poverty reduction. | <p>Coordinate with CA members active in the West Africa region to increase CA contributions towards systemic change & to scaling up; support & build upon existing programmes & activities of CA members in countries in the region, & deepen engagement with partners including relevant private & non-government assoc., so to advance knowledge sharing & scaling up actions; support CA Sec. staff in monitoring & supervision of CA-funded grants in the sub-region; outreach to CA members active in other countries in the region; develop & utilize local & regional networks for two-way knowledge sharing; support efforts of CA members to advocate for change; provide guidance on applying for TA funding from CA.</p> |

| Submission | Implementation | Budget and Time | | |
|---|---------------------------|---|---|--------------------------------|
| <p>Submitted by: Cities Alliance Secretariat</p> | <p>CA Sponsor:</p> | <p>Implemented by: Cities Alliance Secretariat</p> <p>CA Monitor: William Cobbett</p> | <p>Request to CA: \$ 240,000</p> <p>Co-financing: \$ 0</p> <p>Total Budget: \$ 240,000</p> | <p>Duration: 24</p> |

| Expected Impact and Results |
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| <p>Improved urban development programmes supported by the Cities Alliance; Improved coherence of effort amongst Cities Alliance members active in the region; and Increased number of cities and countries with clear policies and targets responding to MDG Target 11.</p> |

Sub Saharan Africa - Uganda

Summary

Uganda's Ministry of Lands, Housing and Urban Development is proposing to develop a national urban policy and strategic urban development plan for the period 2010 – 2025, aiming for well managed urban development to enhance the urban sector's role as a driver of economic growth.

Objectives

The main objective is to develop a national urban policy and a strategic urban development plan for the period 2010 – 2025, aimed at increasing urban sector competitiveness. Providing a shared national vision for the management of urban growth, the urban policy and strategic development plan will address legal, institutional, policy and financial constraints in order to improve urban sector planning, development, management, service delivery, livelihood and urban governance.

Activities

i.) Establishment of the Steering Committee and Secretariat for the project; ii.) Procurement of consultants to support and facilitate the implementation of studies and formulation of the policy and strategic urban development plan; iii.) Development of an EIC strategy targeting the various stakeholders in the urban sector who will participate in the process of policy preparation; iv.) Data collection to establish baseline state of the urban sector; v.) Analysis of data relating different themes; vi.) Preparation of the Situation Analysis Report; vii.) Participatory consultations among various key stakeholders; viii.) Development of draft National Urban Policy and the Strategic Urban Development Plan (2010 – 2025); and ix.) Organize regional workshops and a National Urban conference.

Submission

Submitted by: Ministry of Lands, Housing and Urban Development

CA Sponsor: UN-Habitat, World Bank

Implementation

Implemented by: Min. of Lands, Housing and Urban Development

CA Monitor: A. Merrick

Budget and Time

Request to CA: \$ 410,000

Co-financing: \$ 284,915

Total Budget: \$ 694,915

Duration:
24 months

Expected Impact and Results

i) Effective coordination of stakeholders throughout the process; ii) Development of an Education, Information and Communication (EIC) Strategy targeting key stakeholders; iii) Production and dissemination of a comprehensive situation analysis of the urban sector
iv) Establishment of a national database of key urban indicators; v) Development of a National Urban Policy and Strategic Urban Development Plan for 2010 – 2025; and vi) Launch of a National Urban Campaign

East Asia and Pacific – Fiji, Samoa and Papua New Guinea

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| <p>Summary</p> | <p>During an Oct. 2007 workshop dedicated to the development of the Regional Action Plan recognition was given to the important role a Cities Alliance supported CDS and Settlements Upgrading Strategy could play to support PICs in implementing their commitments to operationalise the Pacific Urban Agenda.</p> | | | |
| <p>Objectives</p> | | <p>Activities</p> | | |
| <ul style="list-style-type: none"> ▪ The objective of the proposed preparatory activity is to prepare detailed grant proposals for submission to Cities Alliance for developing “City Development Strategies” (CDS) and ‘City without Slums’ settlement upgrading proposals in 2 - 3 cities and towns in the Fiji Islands, PNG, and Samoa. ▪ The proposed initiative will also directly support implementation of the Pacific Urban Agenda and draft regional action framework by developing, operationalising and documenting the CDS and ‘City without Slums’ settlement upgrading approaches in the 3 PICs, by including a knowledge management component in each proposal | | <p>In developing the three grant proposals to the Cities Alliance, the methodology and sequencing of activities will focus on: i) dialogue and consultations with local and central government agencies Local Government Associations, private sector, local partners and community stakeholders on the key issues to be addressed with regard to urban development in the 3 selected PICs; ii) developing an overview of the key urban development issues in the 3 PICs including lessons learnt from any earlier development initiatives; (iii) status of public urban investment proposals; iv) agreeing with stakeholders on the priority towns and cities to be targeted; (v) dialogue and consultation with development agencies and donors; (vi) agreeing to the project implementation modalities and drafting the necessary terms of reference</p> | | |
| <p>Submission</p> | | <p>Implementation</p> | <p>Budget and Time</p> | |
| <p>Submitted by: Fiji Local Gov’t Assoc., Fiji Min. of Local Gov’t, Urban Dev. & Housing, Pacific Islands Forum Secretariat, Pacific Islands Planning Assoc., PNG Dept. Comm. Dev., PNG Urban Local Level Gov’t Assoc., Samoa Min. Natural Resources & Environment</p> | <p>CA Sponsor: ADB, UN-Habitat and World Bank</p> | <p>Implemented by: UN-Habitat CA Monitor: Andrea Haer</p> | <p>Request to CA: \$ 75,000 Co-financing: \$ 118,250 Total Budget: \$ 193,250</p> | <p>Duration: 3</p> |
| <p>Expected Impact and Results</p> | | | | |
| <p>Engage stakeholders who have a direct role and interest in improving the condition of urban development at all levels; Reinvigorating key towns and cities engagement using the preparation process with an emphasis on urban development issues to be pursued in the proposal; Strengthen a better understanding and appreciation of the need for greater public investment in PIC urban areas; Loan programming and sector strategies of donors and multilaterals working in partnership with PICs will be mapped and harmonised, with agencies exposed to the CDS/Cities without Slums process.</p> | | | | |

Towards a Local Economic Development Strategy in Quezon City

CDS

East Asia and Pacific – Philippines

Summary

Despite rapid development & major improvements in the local economy, the Local Government desires to develop a more strategic & comprehensive plan to guide its future growth. The development of a CBD, currently being undertaken, demands a complementary Local Economic Development (LED) strategy to bring a balance between the city's economic & social objectives as well as to improve the business environment & define a sound methodology to overcome upcoming demands.

Objectives

- i) Prepare a plan to secure the participation of a large number of representatives of the city's stakeholders; ii) Develop an updated information system containing a conceptual framework of indicators on social, economic and infrastructure data providing the basis for decision making on further investments and promote a base for proper environmental interventions (GIS systems); iii) Develop a comprehensive LED strategy; iv) Develop an implementation plan; and v) Secure knowledge sharing.

Activities

- (i) Secure broad participation of stakeholders; (ii) Understand the local economy and infrastructure by developing a social and economic indicators framework and an infrastructure inventory; (iii) Prepare a comprehensive LED strategy by revising and adjusting the city's long term vision, analyzing the main economic development drivers, studying the informal sector of the economy, conducting an institutional/ organizational study, and preparing a sustainable environmental management strategy; (iv) Develop an action and financial plan; and (v) Develop an integrated information management and knowledge sharing system

Submission

Submitted by: Quezon City Local Government Unit

CA Sponsor: ADB, League of Cities of Philippines and World Bank

Implementation

Implemented by: Quezon City Local Gov't Unit
CA Monitor: Andrea Haer

Budget and Time

Request to CA: \$ 250,000
Co-financing: \$ 529,000
Total Budget: \$ 779,000

Duration: 24 months

Expected Impact and Results

- a) Better quality & more inclusive contribution by stakeholders to LED strategy, strengthened partnerships & broad ownership of the strategy; b) improved urban infrastructure & service provision, maintenance & management; c) enabled environment for investments in key sectors; d) better integration of informal sector & urban poor in economic development of the city; e) developed managerial culture within the Local Government oriented towards efficiency, productivity & consumer satisfaction; f) sustained environmental management cooperation & improved environmental quality; and g) enhanced capacity to share knowledge locally & internationally.

Europe and Central Asia – Tajikistan

Summary

The cities of Dushanbe, Kurgan-Tyube and Hissar have confirmed their commitment to be pilot cities to demonstrate modern approaches to preparing city development strategies for cities of different rank. Cities are determined to acquire new, more up-to-date kind of urban planning documents to guide them. Approaches developed in CDS would be disseminated and could be replicated in other cities of Tajikistan, other cities in countries of Central Asia region and other CIS countries.

Objectives

- The objective of this proposal is to develop comprehensive City Development Strategies for three cities of different size and rank (Dushanbe, Kurgan-Tyube and Hissar) with a focus on assisting them to identify and eliminate the bottlenecks to achieve their potentials as a Capital City, regional centre and small town.
- The seed funding would focus on four elements: (i) Basic infrastructure and services (water supply/sanitation/waste management) (ii) Urban planning and management including land use planning and management (iii) Municipal finance (iv) Affordable Housing policy.

Activities

Consultant(s) familiar with the issues of local government in general, and in Dushanbe, Kurgan-Tyube and Hissar in particular will take the lead in arranging and conducting participatory workshops and developing the CDS proposal with guidance from UN-HABITAT and World Bank inputs to reflect coordination of the full scale project document with the WB Urban Infrastructure Strategy. The document will welcome inputs from the the WB and ADB. The workshops would include other towns representatives including from the cities that have benefited from participatory business plan developments sponsored by other donors if available.

Submission

Submitted by: Tajikistan Agency for Construction and Architecture

CA Sponsor: ADB, World Bank, UN-Habitat

Implementation

Implemented by: UN-Habitat
CA Monitor: Andrea Haer

Budget and Time

Request to CA: \$ 71,000
Co-financing: \$ 30,000
Total Budget: \$ 101,000

Duration:
7 Months

Expected Impact and Results

- (i) An exchange of relevant knowledge on developments in local government administration within and outside Tajikistan would be attained.
(ii) The proposal for a city development strategy will result. (iii) Assist Administrations of Dushanbe, Kyurgan-Tyube & Hissar to better understand their own needs & the Agency for Construction and Architecture to better respond to the needs of cities in Tajikistan. (iv) Contribute to improved understanding of urban development planning process and its practice by authorities of all levels

Tbilisi City Development Strategy for Sustainable Development

CDS

Europe and Central Asia – Georgia

Summary

After conducting a Local Economy Assessment with the assistance of the World Bank for the period 2006-2007, a number of needs as well as strengths of the City of Tbilisi were identified. The next step, through the proposed activities, is the development of a full-scale City Development Strategy (CDS) in order to define the city's collective vision, mid-to-long term goals, and to identify the priorities and investment needs.

Objectives

The medium-to-long term CDS will be structured around 3 pillars:
 a) Business Development Plan: Development of an agreed Vision, Program & Prioritized Capital Investment Plan, that responds to city's key challenges & builds on several completed sectoral studies.
 b) Urban Governance: Improvement of the municipal governance framework, with a special focus on improving citizens' participation & increasing local revenues stream; and c) Physical Development Plan: Design a strategy for improving the physical infrastructure of the city (especially urban transport and solid waste disposal), support building ambient environment in the city, update the Master Plan of Tbilisi and Introduce a new map of Tbilisi

Activities

Establish a team for each CDS pillars, consisting of members from local government, academic, & NGO sectors. The teams will manage the work to be undertaken, & ensure delivery of outputs; Periodic workshops will be conducted with all teams present along with key stakeholders; Training of team staff will be undertaken by international & local consultants; Periodic workshops with stakeholders, media, & civil society members will be headed by the Mayor of Tbilisi, aiming at informing involved & affected parties of the progress & seeking guidance in moving forward; Dissemination of semi-annual progress reports, booklets, handouts, & utilization of local media will take place as the project progresses; a study tour to leading European cities will be conducted to share knowledge and promote investment opportunities.

Submission

Submitted by:
City of Tbilisi

CA Sponsor: GTZ,
UNDP, UNEP,
World Bank

Implementation

Implemented by:
World Bank
CA Monitor:
Andrea Haer

Budget and Time

Request to CA: \$ 300,000
Co-financing: \$ 375,000
Total Budget: \$ 675,000

Duration:
24 months

Expected Impact and Results

Urban governance assessment and improved cooperation between the Tbilisi municipality and stakeholders; Support of local revenue stream; improved physical planning practices and strengthen capacities of the Tbilisi municipality; improved environmental conditions; Public participation and mechanisms for stakeholder involvement in Tbilisi's planning process as an integral part of democratic governance; Training and development of materials for municipal professionals; Dissemination of Tbilisi's learning experiences throughout Georgia through the press and networks for municipal cooperation.

Albania Tirana City Development Strategy

CDS

Europe and Central Asia - Albania

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| <p>Summary</p> | <p>The proposed activities are aimed at developing a long term strategic framework for the sustainable development of the City of Tirana so as to ensure increased coordination & efficacy of initiatives being undertaken in various sectors, & ground such efforts in the new paradigm of sustainable development. An LED strategy will strengthen Tirana's important role as the country's economic center, creating an enabling business environment & attracting foreign investment.</p> | | | |
| <p>Objectives</p> | | <p>Activities</p> | | |
| <ul style="list-style-type: none"> ▪ Develop a medium to long term LED Strategy centering on a capital investment program and financial plan to fund critical infrastructure investments. ▪ Improve intra- and inter-governmental coordination in managing national and metropolitan Tirana. ▪ Develop an integrated upgrading strategy for informal settlements to ensure inclusion of the residents of informal areas into the city's sustainable socio-economic development. | | <p>1: This initial phase will take stock of current existing initiatives, data, and resources as well as a detailed examination of the Tirana-Durres economy; 2: Building on the results from the previous phase, a series of workshops will be conducted to arrive at a common understanding of priorities & overall vision. A comprehensive SWOT analysis will form the basis for identification of investment needs, & an assessment of the physical and socio-economic conditions of the city's informal settlements will be conducted; and 3: The final phase will focus on developing detailed action plans and strengthening the capacity of involved stakeholders. Implementation will commence under regular monitoring and reporting.</p> | | |
| <p>Submission</p> | | <p>Implementation</p> | <p>Budget and Time</p> | |
| <p>Submitted by: Municipality of Tirana</p> | <p>CA Sponsor: GTZ, World Bank</p> | <p>Implemented by: Municipality of Tirana CA Monitor: Andrea Haer</p> | <p>Request to CA: \$200,000 Co-financing: \$125,000 Total Budget: \$325,000</p> | <p>Duration: 12 months</p> |
| <p>Expected Impact and Results</p> | | | | |
| <p>a) An improved business environment and investment climate, creation of new economic opportunities, and strengthened municipal finance; b) Enhanced inter- and intra-governmental cooperation in managing national and metropolitan Tirana; c) Inclusion of informal settlements' residents into the sustainable socio-economic development of the city; d) Increased civic participation and stakeholder involvement in local planning and decision making processes; and e) Enhanced monitoring and implementing capacities at key institutions in the city of Tirana</p> | | | | |

Regulation of ZEIS – Special Social Interest Zones in Salvador

Slum Upgrading

Latin America and the Caribbean – Brazil

Summary

The purpose of the activity is to define a group of actions aimed at the regulation of the *Areas of Special Social Interest/Special Social Interest Zones* (AEIS/ZEIS) in the municipality of Salvador, including (i) the elaboration of general urban legislation (AEIS/ZEIS occupied or urban vacant land); (ii) a social housing project in a vacant urban area and (iii) specific Regularization Plans according to the category of occupied AEIS/ZEIS.

Objectives

The proposal aims to implement actions for regulating the AEIS/ZEIS in Salvador and to replicate these initiatives for all AEIS/ZEIS in the municipality. The actions aim to improve living conditions, combat poverty and introduce control, social and urban management in the various occupied settlements. The specific objectives are to:

- Review existing urban legislation related to the occupied AEIS/ZEIS in Salvador and to prepare a new proposal;
- Review existing urban legislation for the implementation of new social housing projects and to prepare a new proposal;
- Complement the actions undertaken by the authorities in four informally occupied AEIS/ZEIS-type consolidated areas with a view to the implementation of the Regularization Plan.

Activities

- Review and elaboration of general urban legislation for the AEIS/ZEIS of Salvador.
- Revision and elaboration of urban legislation for AEIS/ZEIS on vacant urban land and for the Basic Social Housing Project on urban vacant land in Salvador.
- Preparation and review of the Regularization Plan for occupied AEIS/ZEIS in Salvador.

Submission

Submitted by:

Mayor of Municipality of Salvador

CA Sponsor:

World Bank, Italy, Brazil - Ministry of Cities, Caixa Econômica Federal

Implementation

Implemented by: Municipal Secretariat of Housing, Municipal Secretariat of Urban Planning and Environment

CA Monitor: Farouk Tebbal

Budget and Time

Request to CA: \$ 490,000

Co-financing: \$ 681,000

Total Budget: \$ 1,171,000

Duration:

24 months

Expected Impact and Results

- **Institutional:** Accelerated approval of new social housing projects, as well as new housing projects and projects for repairs and housing improvements involving SUCOM; Preparation of proposals for capturing financial resources from national and international organizations.
- **Habitat Improvement:** Improved quality of life of the residents with the prospect of interventions and planning for the expansion of the areas and definition of project indicators; Completion of land tenure regularization actions in the municipally-owned area for the ZEIS in Sao Marcos.
- **Community Organization:** Increased involvement of the community in the process of quality of life improvement of the ZEIS.

Latin America and Caribbean – Argentina

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| Summary | The proposed activities cover three municipalities in the province of Buenos Aires. Through activities on a municipal and inter-municipal level, the Argentine Federation of Municipalities aims to produce development strategies and action plans to revitalize local and regional economies and to ensure sustained growth in identified sectors, generate employment and achieve greater poverty reduction. |
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| Objectives | Activities |
|---|--|
| <ul style="list-style-type: none"> The overall objective is to design and apply replicable processes to elaborate Action Plans for Economic Reactivation at both municipal and provincial levels. The specific objectives are first to carry out City Development Strategies in three representative municipalities, Florencio Varela, General Alvarado, and La Costa. The second specific objective is to create a dialogue among local authorities and the provincial authority to identify measures which require support of the provincial government, and which contribute to economic growth of a significant number of municipalities in the Province and thus to develop a Preliminary Provincial Action Plan. | <p>Municipal-level activities will be carried out simultaneously in all three cities. Preparations will be made by FAM by drafting TORs and procuring consultants. Consultants will proceed with collecting baseline data for national and municipal levels, conducting cross-sectoral surveys, and analyzing the collected data. Based on these findings, an analysis of drivers of growth will be undertaken, resulting in a strategy proposal and an action plan. FAM, the consultants, and representatives of the provincial government will carry out inter-municipal activities. Priorities will be established and incorporated into a Tri-Development strategy and Action Plan. Sessions on a provincial level will assess the strategy and action plan to ensure technical and financial feasibility and secure endorsement at the provincial level. Reports will be disseminated in English and Spanish.</p> |

| Submission | | Implementation | Budget and Time | |
|---|-------------------------------|--|---|----------------------------|
| Submitted by: Federación Argentina de Municipios | CA Sponsor: World Bank | Implemented by: Federación Argentina de Municipios CA Monitor: J.Adrian | Request to CA: \$427,385 Co-financing: \$ 32,000 Total Budget: \$459,385 | Duration: 16 months |

| Expected Impact and Results |
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| <p>At municipal level, a new dialogue between the municipal government, the chamber of commerce and the local government councils and a broadly-supported development strategy and associated Action Plan; and at provincial level, contraction of financing for priority sub-projects and use of the City Development Strategy methodology in other municipalities. As FAM shares information on the activities with its members in other provinces, additional replication of the methodology is anticipated in other Argentine provinces.</p> |

Preparation of Syria State of Cities Report

CDS

Middle East North Africa-Syria

Summary

The proposed activities aim to address five key areas through the production the first Syrian State of the Cities Report: local economic development, improved urban service delivery, maintenance and rehabilitation of the urban environment, a more efficient and balanced spatial development, and administrative and fiscal modernization.

Objectives

Specific objectives for the preparatory phase are:

- (i) Complete a proposal for the preparation of a State of the Cities Report, including agreement on key issues to be discussed in the Report and specific data to be collected; (ii) Develop a joint platform among participating organizations that includes institutionalized meetings of the City Mayors and a Web Urban e-Platform to successfully prepare a State of the Cities Report and to communicate and share experience beyond in the future; (iii) Initiate the collection of data, indicators and benchmarks, and prepare issue papers in outline form as basis of the State of the Cities Report.

Activities

- An outline of the SoCR including key issues to be addressed, key data to be collected and analyzed
- The distribution of activities and oversight responsibilities in preparing the SoCR, including overall editorial control, drafting responsibilities for individual issue papers, data collection and analysis, graphics and layout, and other aspects on the production
- Organization of the data part of the report, including the type of data to be collected and published
- Agreement on the experts charged with the responsibility of writing, data collection and analysis, discussions on public policy, and public presentations.

Submission

Submitted by: Syria State Planning Commission

CA Sponsor: GTZ

Implementation

Implemented by: GTZ
CA Monitor: Andrea Haer

Budget and Time

Request to CA: \$ 74,833
Co-financing: \$ 122,995
Total Budget: \$ 197,828

Duration:
8

Expected Impact and Results

The results of the preparatory phase will be a proposal for the preparation of the SoCR, including a detailed work plan and budget, funding sources, and an implementation schedule. A broader outcome of this phase will be the strengthening of participating institutions, increased awareness and shared knowledge of municipal issues. The phase will establish & test a platform that offers City Mayors a method of networking, communication & exchange. The platform will initially consist of an Annual Conference of Mayors and a Web Urban e-Platform.

Global

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| Summary | Over the course of the next three years, UNEP has proposed four activities of engagement with the Cities Alliance which will enable them both to contribute to better addressing the environmental issues faced by cities, including the climate impacts especially in developing countries. |
|----------------|--|

| Objectives | Activities |
|---|---|
| Building on UNEP/CA work already done “Liveable Cities,” work jointly with the Cities Alliance to identify tools and practices that can contribute to integrating the environment in CA activities in general and CDS in particular. Provide better support to cities to integrate environment in their development strategies and better support from UNEP to Cities Alliance clients. Look for synergy with CA partners and other development partners to support cities and and government on specific environment activities. | Develop tool box & operational manual to fill knowledge gap for cities implementing CDS to better integrate environment in each CDS phase; undertake analysis of current CA application form & portfolio to determine how to incorporate environment dimension & reduce climate impact in project design for CDS & possibly upgrading; increase awareness of CA w/in UNEP via inter-dept. mtgs., networking & a quarterly newsletter; use CA membership to engage with other members to identify possible cooperation, map out climate change related activities supported by CA members, dialogue among CA members to identify complementarities, tools & good practices, prepare a joint position paper on role of UNEP w/in CA on cities & climate change & organize a joint side-event at Copenhagen COP15 to present & discuss the position paper. |

| Submission | Implementation | Budget and Time | | |
|---|-------------------------|--|--|----------------------------|
| Submitted by: UNEP and Cities Alliance Secretariat | CA Sponsor: UNEP | Implemented by: UNEP CA Monitor: J-C Adrian | Request to CA: \$ 360,000 Co-financing: \$ 230,000 Total Budget: \$ 590,000 | Duration: 36 months |

| Expected Impact and Results |
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| A practical methodology that will allow the integration of the environmental dimension in project implementation, initially CDS & and later on possibly Slum Upgrading, illustrated by a compendium of cities practices in applying these tools. Detailed guidance & examples on how to incorporate the environment dimension & reduce climate impact in project design for CDS and possibly slum upgrading. Identification of relevance of UNEP mandate & activities to the CA including expertise, information & support activities related to CDS & Slum Upgrading. Strategic partnerships with other CA members & development partners on issues including building & construction, energy, transport, etc. |

Global

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|----------------|---|
| Summary | The World Bank Institute (WBI) will work with Cities Alliance members, such as GTZ, UN Habitat, Brazil, Agence Francaise de Development, member countries, to document, analyze, and share information about national policies and programmatic approaches to slum upgrading and prevention in 15 countries across the globe. |
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| Objectives | Activities |
|---|---|
| The main objective of this initiative is to fill a current critical knowledge and capacity gap in the field of slum upgrading and prevention policies and programs at national and sub-national levels (states and cities). | i.) develop a typology of nat'l policy reform & programmatic approaches & a case study template; ii.) carry out field work in each country to document major SU & prevention policy & programs at nat'l level; iii.) write up case studies of successful nat'l & sub-national SU prevention policies & programs, iv.) create an e-learning course/module on the policy frameworks & approaches to SU & prevention; v.) share outcomes via regional & in-country workshops; vi.) Create a knowledge portal to house all analytical & learning work done under this initiative; vii.) facilitate a peer learning network among policy makers & practitioners; viii.) publish a "good practices" source book to disseminate these cases. |

| Submission | Implementation | Budget and Time | | |
|---|---|--|--|----------------------------|
| Submitted by: World Bank, GTZ, Afd, UN-Habitat | CA Sponsor: World Bank Institute, GTZ, Afd, UN-Habitat | Implemented by: World Bank Institute CA Monitor: Andrea Merrick | Request to CA: \$ 250,000 Co-financing: \$ 170,000 Total Budget: \$ 420,000 | Duration: 18 Months |

| Expected Impact and Results |
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| Improved understanding of key issues, such as what was the policy framework and the slum situation before (national, state or city) government initiated recent policy reforms and programmatic approaches to slum upgrading and prevention?; What are the main policy issues that the country tried to address?; How were the policy reform processes and programs undertaken?; How political and financial support was mobilized for a response?; What were the costs involved?; What were the necessary institutional reforms and capacity building efforts?; Were specific evaluations of this initiative made? ; and What are the main lessons learned and relevance for replicating the initiative in other countries? |