

Financials

Setting Targets...

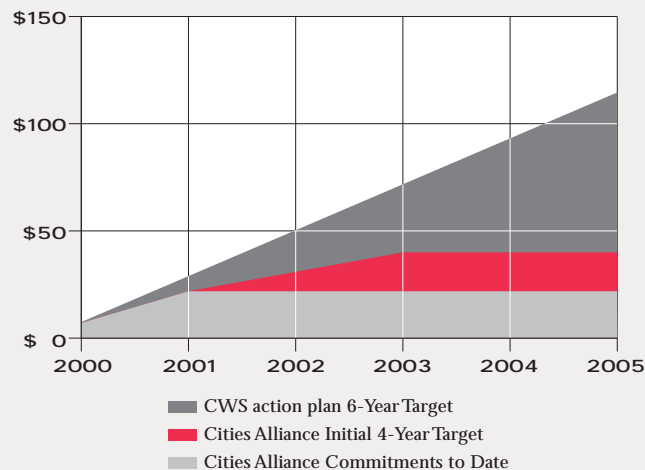
The Cities Without Slums action plan sets a target of improving the lives of 100 million slum dwellers by the year 2020 and an interim target of improving 5-10 million lives by 2005.

The action plan also sets a target of mobilizing US\$295 million in grant assistance, with a target of US\$115 million by 2005. These grants will help develop citywide and nationwide upgrading and city development strategies and help mobilize the private and public investment funds for implementation.

...and Achieving Results

The Cities Alliance, committed to achieving the results called for in the action plan, set an initial fundraising target of US\$40 million during FY00-FY03. We are half way to the target at the end of FY01. The Alliance must continue to scale up these efforts by pooling core resources in the Cities Alliance core Trust Fund, making use of strategic non-core resources earmarked for specific purposes, and improving linkages with private sector investments. With the strong endorsement of the United Nations Secretary-General, the 150 Heads of State and Government who participated in the Millennium Summit pledged to achieve the goal of the Cities Without Slums action plan. We must now build on this political commitment to mobilize the resources required.

Progress in Meeting Cities Without Slums Grant Assistance Targets



2000-2005 Targets: **US\$115 million in grant assistance**

2000-2003 Cities Alliance Budget: **US\$40 million**

Cities Alliance Commitments to Date*: **US\$21.6 million**

* Includes Italy's US\$5.0 million non-core contribution to the Salvador, Bahia, Brazil slum upgrading initiative.

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Summary of *Cities Without Slums* Action Plan

	2000	2001 - 2005	2006 - 2020
Actions	Mobilize global political and financial commitments to slum upgrading and gear up the capacity to support large-scale actions	20 citywide and/or nationwide programmes underway in five regions changing the lives of five million urban poor	50 nation-wide programmes launched with slum improvements as a central element of urban development strategies in most countries; 100 million slum residents with basic services; and slum formation stopped
Support in Grants ¹	\$4 million	\$111 million	\$180 million
Urban Budget Increment ²	\$3.5 million	\$35 million	\$100 million
Upgrading Investments ³	\$200 million	\$2,300 million	\$47,500 million
Accomplish	Mobilise Capacity	5 Million Lives Changed	100 Million Lives Changed

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- 1 Grants for country capacity-building and programme preparation.
 - 2 Proposed increase in World Bank's urban budget to strengthen upgrading capacity and ensure phased implementation of complementary components of Bank's new urban strategy.
 - 3 Total cost of upgrading, funded by governments, private sources, and upgraded community; supported by development bank lending and bilateral cofinancing. World Bank share estimated to be about 25 percent of total.

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SOURCES OF FUNDS FY00-FY01

(U.S. dollars as of 30 June 2001)

Summary	Pledges	Paid-In
Core Funding	11,150,000	6,388,940
Non-Core Funding	6,725,000	1,652,846
Secretariat Funding	3,760,000	2,481,500
Total Funding	21,635,000	10,523,286

Core Funding	Pledges	Duration	Paid In*
Canada	500,000	2000-2001	502,717
France	250,000	2000	243,184
Germany	1,000,000	2000-2003	373,233
Italy	700,000	2000-2001	316,644
Japan **	1,500,000	2000-2001	1,000,000
The Netherlands	2,250,000	2000-2001	2,250,000
Norway	250,000	2000	249,512
Sweden	500,000	2000-2001	252,016
United Kingdom	3,000,000	2000-2002	1,067,041
United States	500,000	2000-2001	134,593
World Bank	700,000	2002	0
Total Core	11,150,000		6,388,940

Non Core Funding***			
Italy	5,475,000	2001-2003	952,846
Japan	500,000	2000-2001	0
United Kingdom****		2001-2002	
World Bank	750,000	2001-2002	700,000
Total Non-Core	6,725,000		1,652,846

Secretariat Funding*****	Cash	In-Kind	Total
World Bank	2,950,000	0	2,950,000
Habitat	310,000	500,000	810,000
Total	3,260,000	500,000	3,760,000

* Amounts may vary from amount pledged due to exchange rate fluctuation.

** Japan's FY00 included \$750,000 earmarked for Asian CDS activities, but this contribution is reflected here as part of Core Funding since the funds were programmed as part of the regular Core Work Programme development.

*** Non-Core funding is earmarked for specific region or type of activity. Italian funds earmarked \$5,000,000 for Salvador, Bahia, Brazil slum upgrading programme (including \$2,500,000 for technical assistance) and \$475,000 for Latin America and Caribbean activities; Japan for Asian CDS networking activities; and World Bank \$700,000 (FY01) for United Nations partnership activities and \$50,000 (FY02) for independent evaluation.

**** In-kind contribution of staff secondment for South Asia region for FY01-FY02.

***** Includes amount paid-in for FY00-FY01 and amount pledged for FY02.

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USES OF FUNDS FY00-FY01

(U.S. dollars as of 30 June 2001)

Summary (FY00-FY01)	Approved Allocations	Actual Disbursements
Grants from Core Funds	8,785,000	2,157,000
Grants from Non-Core Funds	6,175,000	1,129,000
Budget from Secretariat Funds		
Operations	2,013,000	1,894,000
Administration	505,000	455,000
Total Uses of Funds	17,478,000	5,635,000

Grant Approvals by Type of Activity	Core Funds	Non-Core Funds
City Development Strategies	2,220,000	700,000
Scaling Up Upgrading	3,124,000	5,000,000
Both CDS and Upgrading	3,441,000	475,000
Total Approved Grants	8,785,000	6,175,000

Grant Approvals by Region	Core Funds	Non-Core Funds
Sub-Saharan Africa	1,565,000	
Asia	3,103,000	250,000
Eastern Europe and Central Asia	400,000	
Latin America and The Caribbean	1,698,000	5,475,000
Middle East and North Africa	425,000	
Global/ Multi-Regional	1,594,000	450,000
Total Approved Grants	8,785,000	6,175,000

Secretariat Expenditures	FY00	FY01
Operational:		
Secretariat Staff	286,000	585,000
Consultants, Other Labour Costs	219,000	104,000
Travel	121,000	117,000
Other Costs	390,000	72,000
Sub-Total	1,016,000	878,000
Administrative:		
Secretariat Staff	207,000	221,000
Overheads, Other Costs	20,000	7,000
Sub-Total	227,000	228,000
Total Secretariat Expenses	1,243,000	1,106,000

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APPROVED GRANTS

(U.S. dollars as of 30 June 2001)

Grant Amount (US\$)	Start Date	Country	Activity Title
SUB-SAHARAN AFRICA REGION			
143,000	(1)	Kenya	Nairobi CDS and Upgrading of Informal Settlements
152,000	Sep-00	Madagascar	Slum Upgrading and Community Development in Four Major Cities: Antsirabe, Antsiranana, Mahajanga and Toamasina
286,000	Jun-01	Madagascar	CDS for Antananarivo - Infrastructure Development, Urban Services Improvement and City Poverty Strategy
75,000	Oct-00	Mauritania	Slum Upgrading and Urban Poverty Alleviation
254,000	Nov-00	Nigeria	Scaling Up Upgrading Through a CDS Approach in Karu
100,000	Sep-00	Regional	Regional Roundtable on Upgrading Low-Income Settlements in Africa
165,000	Apr-01	Rwanda	Kigali Economic Development Strategy
350,000	Sep-00	South Africa	Johannesburg City Level Comprehensive Development Framework
40,000	Jan-01	South Africa	Preparation of Southern Africa Cities Alliance
ASIA REGION			
150,000	Apr-00	Asia Regional	Asian CDS Workshop 2000
75,000	Jan-01	Bangladesh	Khulna: Options for Sustainable Upgrading
185,000	Mar-01	Cambodia	Scaling up Community-Driven Development Process in Phnom Penh
500,000	Oct-00	China	Metropolitan Level CDS in One Major City Region and One Provincial Capital
250,000	Jun-01	China	Changsha City Region, Guiyang and Shengyan City Development Strategies: Urban Indicators Project
226,000	Jun-01	India	Local Partnership for Poverty-Focused CDS in Hyderabad
67,000	May-01	India	Preparation of Gujarat State Urban Slum Policy
30,000	Apr-00	Indonesia	Proposal Preparation: Poverty-focused CDSs
600,000	Mar-01	Indonesia	Institutionalizing Poverty-focused CDSs

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ASIA REGION (continued...)

160,000	Sep-00	Nepal	Katmandu CDS and Informal Settlement Study
30,000	Apr-00	Pakistan	Proposal Preparation: Peshawar CDS and City Assistance Programme
150,000	Apr-01	Pakistan	CDS and Cities Without Slums Initiative for Peshawar
30,000	Apr-00	Philippines	Proposal Preparation: Expansion of the CDS Programme
600,000	May-01	Philippines	Upscaling Poverty-Focused CDSs
300,000	Apr-01	Vietnam	Enhancing Access of the Urban Poor and Vulnerable Groups to Basic Infrastructure and Housing

EASTERN EUROPE and CENTRAL ASIA REGION

75,000	Jun-01	Bosnia-Herzegovina	Preparation of Mostar's Local Economic Development - Capacity Building and Business Improvement Programme
75,000	Sep-00	Bulgaria	Sophia CDS - Phase I
249,800	Jun-01	Bulgaria	Sophia CDS - Phase II

LATIN AMERICA and CARIBBEAN REGION

180,000	Jan-01	Brazil	Recife Metropolitan Region Development Strategy
560,000	Apr-01	Brazil	Building an Enabling Strategy for Moving to Scale in Brazil
5,000,000	Jun-01	Brazil	Salvador, Bahia, Technical and Social Assistance Project
96,000	Aug-00	Central America	Urban Review
84,000	Sep-00	Central America	Regional Coordination Towards a Sustained Programme for Upgrading
320,000	Mar-01	El Salvador	Improving Execution Capacity for Urban Upgrading Programme in Metropolitan Area of San Salvador
475,000	Apr-01	LAC Region	Moving to Scale in Latin America and the Caribbean
213,500	Jun-01	Latin America	Build LAC Capacities in Urban Knowledge Management
245,000	Jun-01	Mexico	Scaling up Upgrading and Managing Informal Urban Growth in the Metropolitan Mexico City Area

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Grant Amount (US\$)	Start Date	Country	Activity Title
MIDDLE EAST and NORTH AFRICA REGION			
130,000	Sep-00	Egypt	Upgrading Informal Areas in Ismailia Governorate
295,000	Jul-01	Morocco	Upgrading Project for the Koraat Sbaa Neighborhood in Tetouan
GLOBAL and MULTI-REGIONAL ACTIVITIES			
50,000	Mar-00	Global	Urban Indicators Linkages
150,000	Mar-00	Global	Preparatory Assistance Fund
49,000	Mar-00	Global	Cities Alliance Website
50,000	Mar-00	Global	Public Policy Forum - June 2000
60,000	Mar-00	Global	Urban Upgrading: A Resource Framework
75,000	Jul-01	Global	CDS Action Plan
70,000	Jun-00	Global	CG/PPF meeting - Dec. 2000
30,000	May-01	Global	Policy Advisory Board Meetings
20,000	Jun-00	Global	Building Political Commitment
5,000	Sep-00	Global	Millennium Summit Product/Activity
20,000	Jun-00	Global	Short Note Series
5,000	Jun-00	Global	Technical Peer Reviews
500,000	(2)	Global	Pro-Poor Slum Upgrading Frameworks in India, the Philippines and South Africa
210,000	Jun-01	Global	Housing Finance for the Poor -- Innovations and Good Practices from the Field
450,000	May-01	Global	Consolidation of the Experience from Seven City Development Strategies of the UMP
300,000	Mar-01	Regional	Regional Action Plans
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14,960,300	TOTAL GRANT APPROVALS		

(1) - Activity is on hold pending compliance with conditions of approval.

(2) - Activity has not commenced; implementation arrangements are being finalised.

Acronyms

AfD	Agence française de développement [French Development Agency]	MEDCITIES	Mediterranean Cities
AVSI	Associazione Volontari per il Servizio Internazionale [Association of Volunteers in International Service]	MELISSA	Managing the Environment Locally in Sub-Saharan Africa
CDS	city development strategies	NGO	non-governmental organisation
CONDER	Companhia de Desenvolvimento Urbano do Estado da Bahia [Bahia Metropolitan Planning Authority]	OPAMSS	Oficina de Planificación del Area Metropolitana de San Salvador [San Salvador Metropolitan Planning Office]
CZT	Changsa/Zhuzhou/Xiangtan	SDI	Slum Dwellers International
DFID	Department for International Development [UK]	SEWA	Self-Employed Women's Association
FMCU	Fédération Mondiale des Cités Unies [World Federation of United Cities, formerly United Towns Organisation, UTO]	UMP	Urban Management Programme
GTZ	Gesellschaft für Technische Zusammenarbeit [German Agency for Technical Co-operation]	UN	United Nations
IDB	Inter-American Development Bank	UNCHS	United Nations Centre for Human Settlements (Habitat)
ILO	International Labour Organisation	UNEP	United Nations Environment Programme
IULA	International Union of Local Authorities	UNDP	United Nations Development Programme
KfW	Kreditanstalt für Wiederaufbau [German Development Bank]	UNICEF	United Nations Children's Fund
		USAID	U.S. Agency for International Development

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