



Commissioner of Urban Development Sam Mabala at the launch of a sanitation facility in Jinja, Uganda. Photo: The Cities Alliance

CITIES ALLIANCE FINANCIALS

The financial results presented below are from Cities Alliance operations at the World Bank. The FY2013 results cover an 18-month period, from July 2012 through December 2013, including the FY2013-Continuation period from July 2013 through December 2013.

Effective with the move of the Cities Alliance to UNOPS, the programme will be on

a calendar fiscal year starting from 2014. This reporting reflects the initial transfer to UNOPS of residual unallocated funds in the World Bank-administered trust funds. It also includes the allocation of funds for Legacy Operations at the World Bank through 2015 for the winding down of grant portfolio at

the Bank and the closing of the Cities Alliance trust funds.

Financial operations began at UNOPS during the FY2013-Continuation period, with the move of the Secretariat in September 2013. The UNOPS financial results for this period will be presented as part of the 2014 annual reporting.

FINANCIAL SUMMARY – World Bank Trustee

(US\$ millions as of December 31, 2013)

	FY07	FY08	FY09	FY10	FY11	FY12	FY13*
Contributions Paid In:							
Core	7.782	10.158	7.001	7.101	7.075	7.461	8.021
– Transferred to UNOPS							-4.624
Non-Core **	7.809	5.759	17.632	3.955	1.274	0.038	0.083
– Transferred to Gates/UNOPS							-8.190
Total Paid In	15.591	15.917	24.633	11.056	8.349	7.499	3.48
Disbursements:							
Core	8.264	7.145	7.451	8.503	8.023	8.069	9.396
Non-Core	4.447	7.833	4.287	6.220	2.850	3.283	4.592
Total Disbursements	12.711	14.978	11.738	14.723	10.873	11.352	13.988
Approved Funding Allocations:							
Core	4.641	7.662	8.983	10.746	8.604	6.482	8.388
Non-Core	5.018	1.970	1.990	5.274	3.728	2.404	1.020
Total Allocations	9.659	9.632	10.973	16.020	12.332	8.886	9.408

* FY13 is an 18-month period, running from July 1, 2012 to December 31, 2013, including the FY13 Continuation period of July 1, 2013 to December 31, 2013, as part of the transition to a calendar year fiscal year after the transfer to UNOPS.

** Excludes In-kind contributions for staff secondments to Secretariat.

Table 1: CITIES ALLIANCE: Sources & Uses of Funds – World Bank Trustee
(US\$ as of 31 December 2013)

CORE FUND			NON-CORE FUNDS	
Cumulative	FY13*		FY13*	Cumulative
90,623,687	8,021,205	Contributions Received	108,838	74,777,626
(6,454,764)	(6,152,838)	Contribution Adjustments**	(8,338,153)	(8,638,113)
--	--	In-Kind Contributions	443,333	9,569,818
5,942,237	105,742	Investment Income	80,637	1,003,605
90,111,160	1,974,109	Total Sources of Funds	(7,705,345)	76,712,936
(82,075,739)	(9,396,082)	Project Disbursements	(4,591,755)	(62,015,230)
-	-	In-Kind Disbursements	(443,333)	(9,569,818)
(1,232,270)	(86,088)	Trust Fund Administration Fees	(4,555)	(1,527,531)
32,001	-	Foreign Exchange Gain (Loss)	(531)	239,368
(83,276,008)	(9,482,170)	Total Uses of Funds	(5,040,174)	(72,873,211)
6,835,152		Fund Balance US\$		3,839,725
(6,165,970)		Less: Undisbursed Allocations		(3,630,820)
669,182		Funds Available		208,905

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** Contribution Adjustments includes transfer of residual funds to UNOPS, refunds to donors and other adjustments.

Table 2: FINANCIAL CONTRIBUTIONS – World Bank Trustee

(US\$ as of 31 December 2013)

Donor	Pledges	FY13*	Cumulative (US\$ equivalent)
Core funding			
Asian Development Bank	1,250,000	0	1,223,317
Australia	5,382,750	2,046,800	5,095,405
Brazil	600,000	0	600,000
Canada	1,500,000	0	1,585,293
Chile	150,000	0	150,000
Ethiopia	300,000	49,965	299,885
European Commission	1,000,000	0	1,035,094
France	2,876,023	100,000	2,881,503
Germany	4,690,000	1,156,285	4,944,837
Habitat for Humanity	100,000	0	99,980
Italy	5,550,000	0	5,640,263
Japan	1,750,000	0	1,750,000
Netherlands	3,500,000	0	3,499,940
Nigeria	100,000	0	50,000
Norway	11,905,000	1,704,355	11,974,590
Philippines	250,000	100,000	250,000
Shack Dweller Int'l	300,000	50,000	300,000
South Africa	300,000	50,000	300,000
Spain	2,250,000	0	2,321,400
Sweden	6,125,000	450,911	6,386,079
UNEP	1,200,000	0	1,199,987
UN-HABITAT	800,000	0	800,000
United Kingdom	7,100,000	0	7,533,690
United States	3,818,383	250,000	3,818,383
World Bank	27,600,000	2,062,889	26,884,041
Total core	90,397,156	8,021,205	90,623,687
Non-core funding **			
Canada	75,000	-	73,608
France	357,209	-	357,209
Gates Foundation	15,000,000	-	14,999,980
Italy	15,569,741	82,700	15,881,241
Japan	4,250,000	-	4,250,000
Netherlands	350,000	-	350,000
Norway	3,200,000	-	3,272,992
Sweden	9,900,974	-	9,972,500
UNEP	90,000	-	15,000
United Kingdom	22,620,000	-	24,130,650
United States	774,444	-	774,444
World Bank	700,000	-	700,000
Total non-core	72,887,368	82,700	74,777,624

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** Excluded In-Kind contributions for staff secondments to CA Secretariat.

Table 3: APPROVED FY13 FUNDING ALLOCATIONS - SUMMARY - World Bank Trustee*
(US\$ approved from 1 July 2012 to 31 December 2013)

	Non-Core	Core
Catalytic Fund:		
Catalytic Fund - Youth & City Allocations	0	490,000
Sub-Total	0	490,000
Country Programme Allocations:		
Land, Services & Citizenship Programme	750,000	0
Other Country Programming Support	70,000	350,000
Country Partnership Programmes	100,000	225,000
Sub-Total	920,000	575,000
Global Knowledge & Advocacy Activities:		
Slum Upgrading	0	100,000
City Development Strategies	0	55,000
Environment/ Climate Change/ Disaster Risk Reduction	75,000	0
Communications & Advocacy	25,000	0
Monitoring & Evaluation	0	50,000
Sub-Total	100,000	205,000
Partnership Allocations:		
Management, Finance & Administration	0	1,890,000
Technical, Knowledge, Communications and M&E	0	1,345,000
Governance & Administration (including Legacy Operations)	0	3,883,060
Sub-Total	0	7,118,060
Total Approved Funding Allocations	1,020,000	8,388,060

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Table 4: APPROVED FY13 FUNDING ALLOCATIONS - DETAILED - World Bank Trustee*
(US\$ approved from 1 July 2012 to 31 December 2013)

Country	Activity Title	Allocation Amount (USD)
Catalytic Fund		
Theme: Youth and the City		
Chad	Insertion sociale et économique des jeunes de 4 quartiers de N'Djamena	240,000
Mauritania	Centre d'Appui à l'Insertion des jeunes de Nouakchott (CAP Insertion)	250,000
Senegal/ El Salvador/ and Nigeria	Remaining CATF projects to be administered from UNOPS	0
Global knowledge and advocacy activities		
Theme: Slum Upgrading		
Global	Development of an Incremental and Affording Housing Policy Toolkit and Pilot City Case Studies	100,000
Theme: Strategic City Development		
Global	Reshaping the City Agenda: A discussion paper on the evolution and formulation of national urban policies	55,000
Theme: Environment/ Climate Change/ Disaster Risk Reduction		
Asia region	First Eco2 East Asia Programme (increase): Proposal of Knowledge Management and Capacity Building	75,000
Other Learning & Knowledge Sharing		
Global	General Learning & Knowledge Sharing (FY13 increase)	0
Theme: Communications & Advocacy		
Africa region	Promoting the Africa city/urban agenda	25,000
Theme: Monitoring & Evaluation		
Global	Development and Implementation of Cities Alliance M&E system (increase)	50,000

Country	Activity Title	Allocation Amount (USD)
Country / Regional Programming Allocations		
Land, Services & Citizenship Programme		
Ghana	Support to the development of an integrated environmental sanitation strategy for the Greater Accra Metropolitan Area	450,000
Uganda	City development strategies for Uganda's secondary cities	0
Uganda	Addressing the Land Information Requirements of the Urban Poor - Phase II: Piloting of the Social Tenure Domain Model (STDM)	225,000
Uganda	TSUPU/Uganda urban programme implementation support	75,000
Country Partnership Programmes		
India	Transformation of Mumbai into a World Class City - Phase III	250,000
India	Support for City-wide In-situ Slum Upgrading	0
Brazil	Brazil / LAC Support Office (increase)	75,000
Other Country / Regional Programming & Support		
Africa Region	Strategy Development:	
Africa Region	(A) Preparation and Development of CA Africa Strategy	100,000
Africa Region	(B) Promoting National Approaches to Slum Upgrading and Prevention in Sub Saharan Africa	75,000
Africa Region	(C) Africa Strategy: Identifying Key Policy Challenges and Strategic Responses, and Preparing Recommendations for Action	75,000
Africa Region	Leveraging UCLGA knowledge networks	70,000
Nigeria	Nigeria Urbanization Review	100,000

Country	Activity Title	Allocation Amount (USD)
Partnership Operations		
Management, finance and administrative operations		
Global	Secretariat: Management, finance communication and administrative operations	1,890,000
Technical, knowledge, communications and M&E operations		
Global	Secretariat: Technical, knowledge, and M&E operations	1,345,000
Governance and Administration		
Global	Consultative Group/ EXCO Meetings (increase)	215,000
Global	WUF/ Africities / other forums	200,000
Global	Technical Peer Reviews (increase)	50,000
Global	CA Relocation: Assessment of Hosting Options	225,000
Global	Administration of Legacy Cities Alliance Operations	3,193,060

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