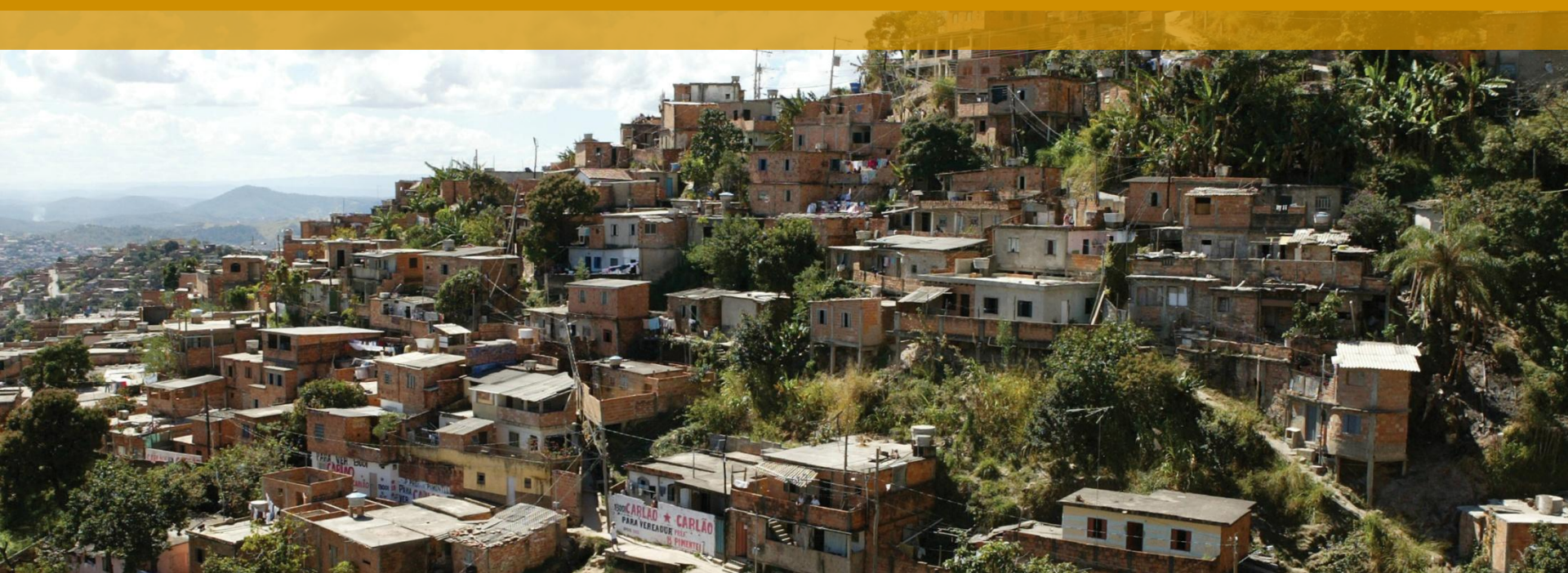


Proposal Approval and Grant Set-Up Processing Time

Fiscal Years 2010 and 2011

CITIES
WITHOUT
SLUMS

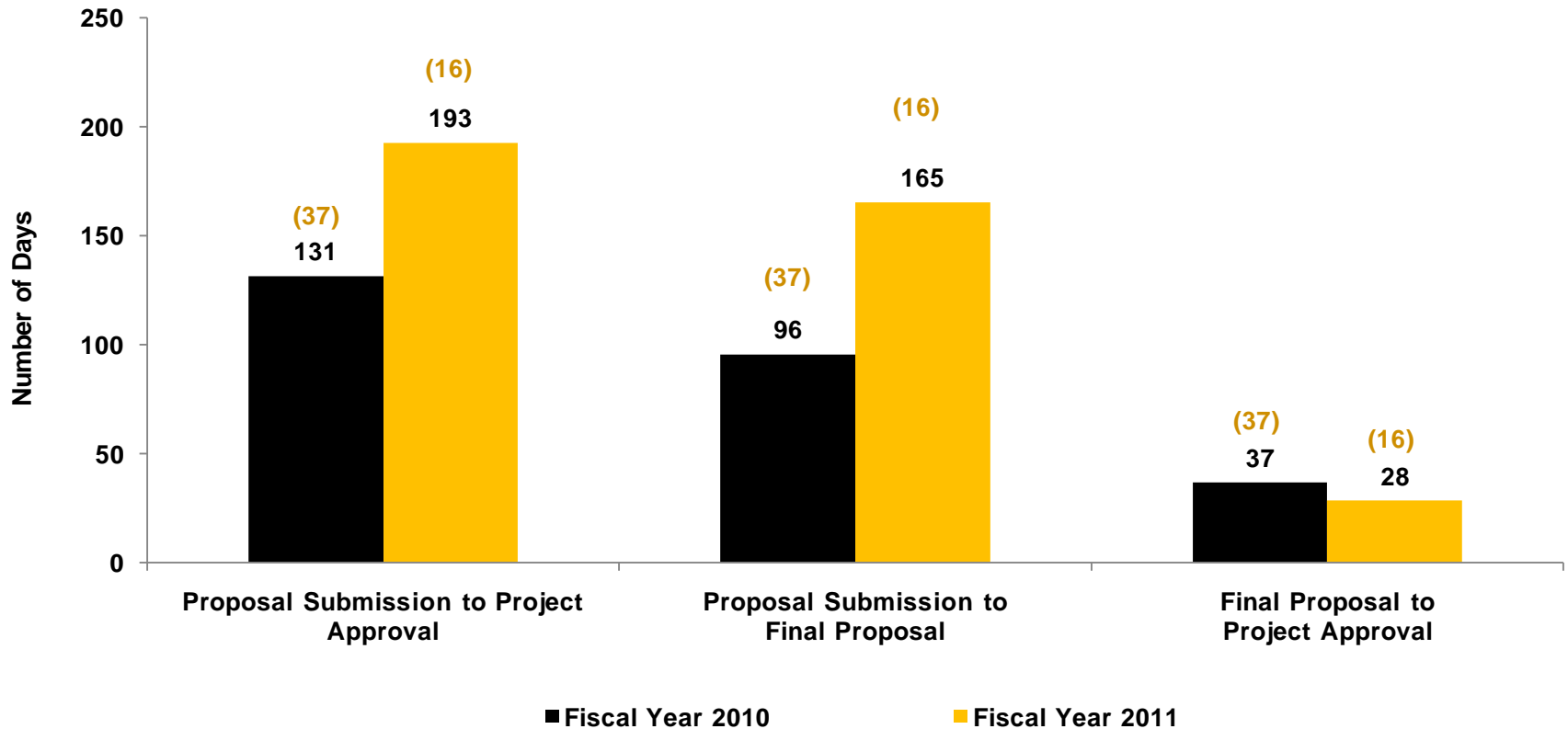


10 March 2011

www.citiesalliance.org

Cities Alliance
Cities Without Slums

Average Proposal Approval Processing Time From Proposal Submission to Project Approval (Projects Approved in Fiscal Years 2010 and 2011)



Issues Contributing to Delays in Proposal Approval & Actions Taken/Recommended

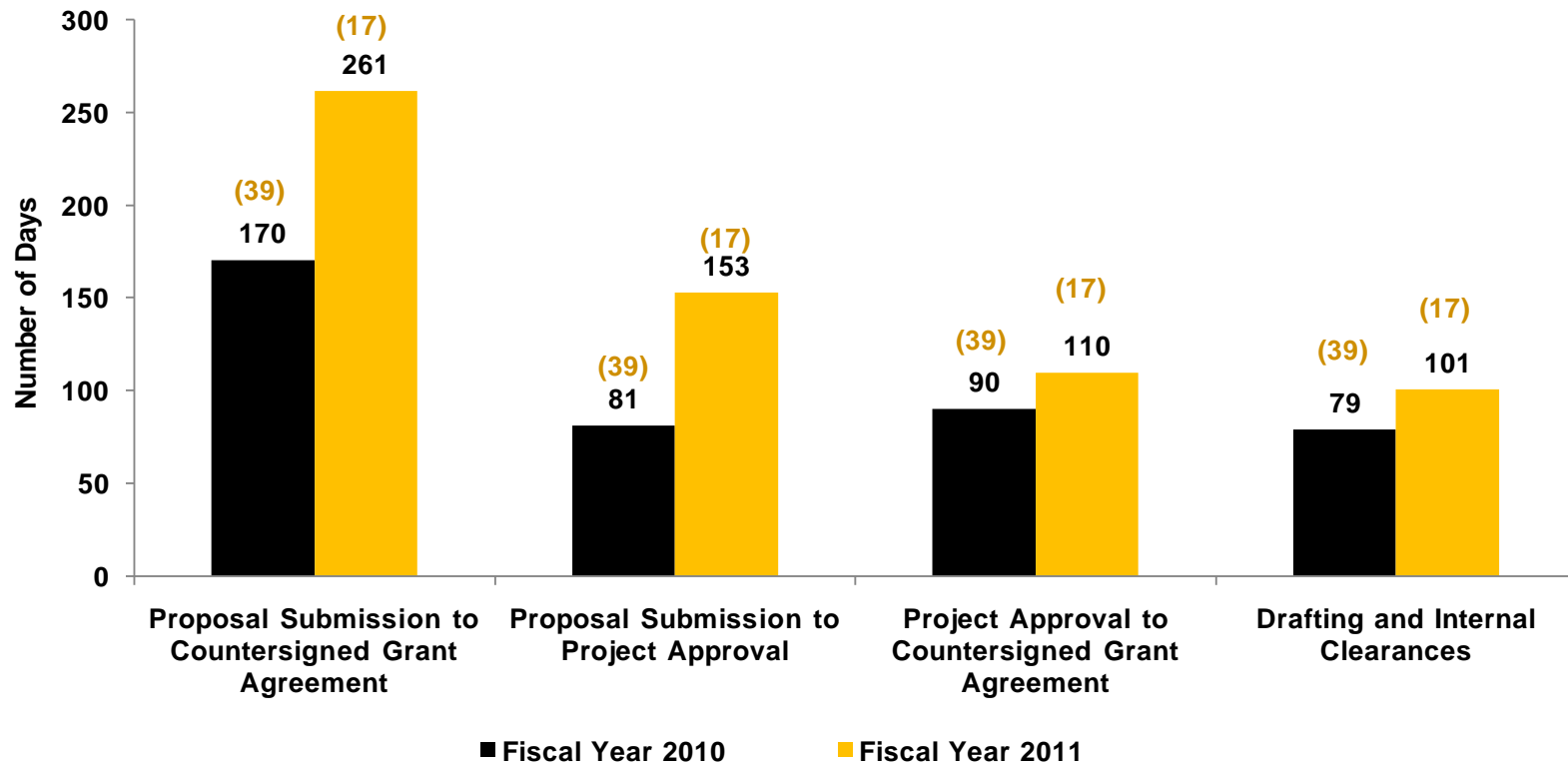
Issues

- Delays in resubmission of revised proposals by applicant
- A large number of proposals received at the closure of old Grant Facility

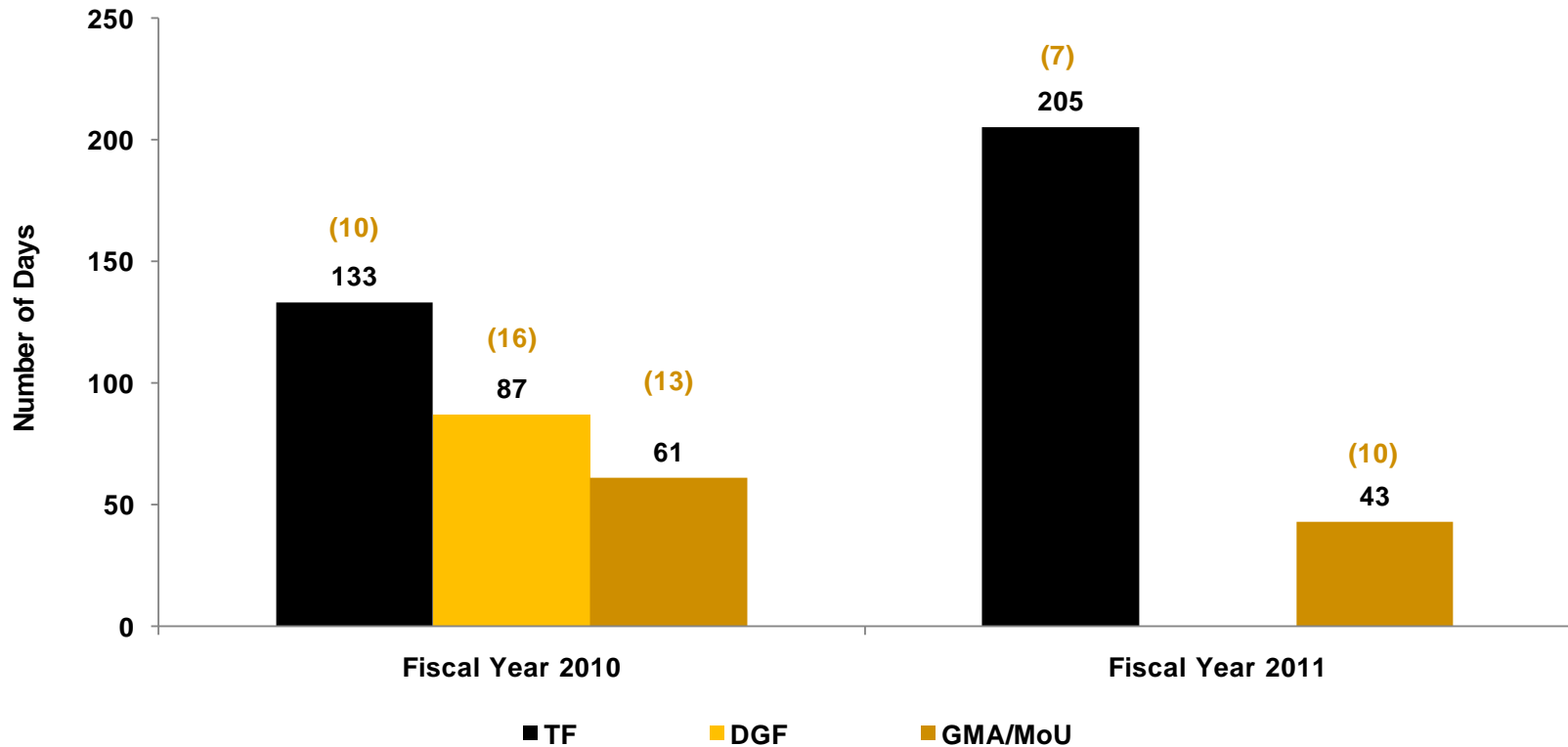
Action taken/ Recommendations

- Design of CATFund has eliminated the resubmission of proposals
- Processing of the 31st March batch is almost complete and this was a transition challenge not a permanent problem

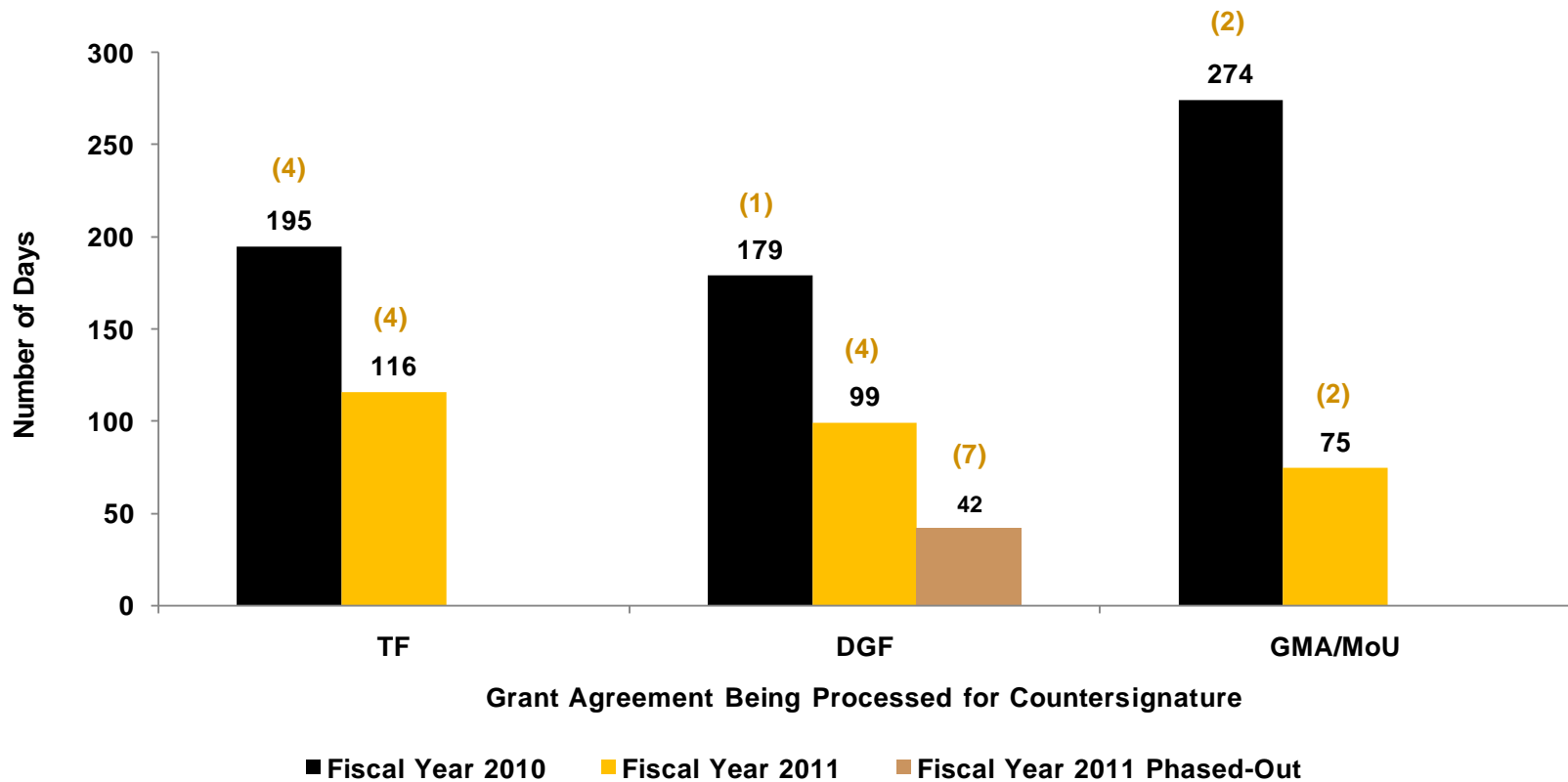
Overall Average Processing Time From Proposal Submission to Countersigned (Grant Agreements Countersigned in Fiscal Years 2010 and 2011)



Average Grant Set Up Processing Time by *Grant Agreement Type* From Project Approval to Countersigned (Grant Agreements Countersigned in Fiscal Years 2010 – 2011)



Average Processing Time for Grant Agreement in Process From Project Approval to 28 February 2011



Issues Contributing to Delays in Grant Set-up & Actions Taken/Recommended

Issues

- Enforcement of Country Director concurrence for all country specific projects
- Uncertainty about which Director should sign CA Trust Funded grant agreements
- Mandatory review by country Legal teams of all grant agreements for country specific projects

Actions Taken/Recommended

- Country Director concurrence already included in the design and processing of CATFund applications
- After various consultations with LEGOP, they reconfirmed the requirement of AMS 1.30 that all CA grant agreements for country specific projects are to be signed by Country Director
- Clear process and procedures for engaging country Legal teams including expected service standards (also for others e.g. Fiduciary/Safeguards)

Issues Contributing to Delays in Grant Set-up & Actions Taken/Recommended

Issues

- Introduction of safeguards screening for all grants regardless of size
- Requirement that all grants to government ministries must be signed by Minister of Finance or equivalent
- Strengthened procurement procedures regardless of grant size

Actions Taken/Recommended

- Secretariat worked with Bank Safeguards team to develop tools
- Team members attended training and are carrying out the screening engaging Bank teams whenever needed
- Clear guidance and clarity on the accepted signatories from LEG
- Such requirements to be built into the application process for the CATFund
- CA has engaged a procurement specialist who assists the team in reviewing procurements aspects of proposals and provided clearance

Average Grant Set-Up Processing Time by Grant Recipient Type (in days) From Project Approval to Receipt of Countersigned Grant Agreements (Countersigned in Fiscal Year 2011)

Project Approval Fiscal Year	Project Title	Country	Assigned Grant Amount (in US\$)	Grant Recipient	Grant Agreement Type	Project Approval to Countersigned Grant Agreements	Drafting and Internal Clearances
2010	Indonesia Slum Alleviation Policy and Action Plan (SAPOLA)	Indonesia	455,000	Ministry of Finance	TF	245	235
2010	Jordan Secondary Cities Development Strategy Program	Jordan	476,000	Ministry of Municipal Affairs	TF	126	107
2010	Namibian City Development Strategies (CDS) Pilot	Namibia	250,000	Association of Local Authorities of Namibia	TF	223	198
2009	Development of the National Urban Policy and Strategic Urban Development Plan for Uganda	Uganda	450,000	Ministry of Lands, Housing and Urban Development	TF	471	425
2010	Shack/Slum Dwellers International: Transforming Settlements of the Urban Poor in Uganda: A Secondary Cities Support Programme (TSUPU)	Uganda	595,000	Slum Dwellers International	TF	211	205
2010	Strengthening Urban Local Governments in Uganda to Achieve the Goals of the TSUPU project	Uganda	229,500	International City Management Association	TF	104	103
2010	Transforming the Settlements of the Urban Poor in Uganda A Secondary Cities Support Programme (TSUPU)	Uganda	1,847,000	Ministry of Finance, Planning and Economic Development	TF	179	133

Thank you