

**Meeting of the General Coordination
14th September 2009 - Brasilia**

STATE OF THE CITIES REPORT - BRAZIL

An urban policy agenda for the country



1- What is the purpose of the Report ?

For the Federal Government, States and Municipalities and those entities comprising or working alongside the Cities Council, the Report will serve :

To identify the strategic themes and challenges involved in formulating an urban development policy which is expected to highlight the heterogeneous nature of Brazil's municipalities (economic, environmental, institutional differences and their varying degrees of insertion and absorption into the rural and urban networks).

To provide information as a prelude to formulating an integrated approach to urban development models and to identify the obstacles likely to affect implementation of these models.



2- What do we expect to achieve through better understanding of the interrelated themes?

To be able to identify with a greater degree of accuracy the factors which condition and/or define the urbanization situations of municipalities over and above sectorial considerations.

Aim – to determine the extent of State intervention and organization in the area of Urban Development (at the 3 levels of government)



Defining “urbanization” in urban and rural areas:

- Streets properly paved and drained, with good public lighting and sanitation
- Good quality local public spaces and amenities (at neighborhood level)
- Public transport and waste collection/cleansing facilities
- Public spaces and amenities (at city level)
- Adequate housing for all sectors of society
- Salubrious and safe environment
- Related employment prospects (e.g. construction of industrial zones, bridges and viaducts, expressways, airports, silos, infrastructure logistics etc.)



3 – Key issues and hypotheses of the research:

- Are those municipalities with dynamic economies better placed from an urbanization point of view? The opposite question can also be asked: are those municipalities with low-performing economies and dependent on resource transfers in a worse situation regarding urbanization?
- Depending on the type of predominant economic growth activity in a given municipality, we need to ask which particular sectors of the local economy generate the most substantial returns (own revenues and ‘transferred’ resources, compensatory funds, royalties etc). Can cities which possess significant environmental preservation areas develop dynamic economies and good urban conditions?
- What kind of organized governance of the local economy holds out the best prospect for ensuring optimum urbanization conditions?

3 - Key issues and hypotheses of the research:

- Do economically-dynamic municipalities possess better quality management practices with regard to urbanization?
- Does the existence of instruments which ensure democratic participation and involvement in the management process at local level result in better and more widely-distributed urbanization conditions in the municipalities?
- Can those municipalities which benefit from democratic management but which lag behind economically and are dependent on transfers generate better urbanization conditions?
- Does the fact that municipalities benefit from existing supra-municipal federative arrangements impact on better urbanization conditions?

(2) Research Strategy



SAMPLE 633 MUNICIPALITIES

Indicators

Adequate urban conditions

Indicators

Urban development financing

Indicators

Local economic development

Indicators

Public sector management

1. To ascertain indicators which will enable us to map, for the entire sample, economic activities and their links to generation of local urban development resources

-Economic DNA

-The extent to which a local economy is solidly established in terms of generating employment, absorption and distribution of income, etc.

-The potential for revenue generation – own revenues, transferred funds, royalties, social contributions etc.

-Involvement in regional networks

2. Which investments and initiatives were undertaken in the municipality from year 2000 onwards (to be confirmed) by the local Prefecture or by the State or Federal Governments in the urban development area?

- Sources of funds
- Type of interventions

3. What are the urbanistic and environmental conditions in the municipality's area ?

- Levels of service provision (coverage)
- Socio-environmental quality

Observations – To employ the parameters of the respective policies, e.g.

- Water supply (regularity/quality)
- Waste and sewage collection (level of service delivery/regularity/final disposal/treatment). Response to demand for basic equipments and amenities relating to education, health, leisure and transport in accordance with the parameters established under the aegis of respective national policies (location of equipments/maximum distances in relation to homes).

- 4. What kind of institutional management structure deals with urban development?**
- 5. Does the municipality provide its own urbanization facilities (especially water, sanitation, waste collection, public transport) autonomously or does it depend on (or work jointly with) neighboring municipal authorities?**
- 6. What indicators measure the quality of communication between the local government and its citizens?**

Stage 02 – Research

Phase 01 – Definition of Methodology

(August to November 2009)

Definition of the methodology for undertaking an integrated analysis of the quantitative and qualitative data related to the cross-referencing of the **main thematic lines of approach**, which could be periodically repeated

The procedure involved in formulating the methodology will involve the following inputs:

- a) A consultancy undertaken by the Key Theme Consultants;
- b) Methodological ‘papers’, as and when necessary, to provide information for designing the methodology;
- c) Participation by representatives of the Strategic Bodies in the technical workshops with a view to discussing and/or designing the methodology (2 workshops).



Stage 02– Research

Phase 02 – Application of the methodology on the selected sample (December 2009 to April 2010)

The research will consist of the application and cross-referencing of indicators/data from secondary sources.

(4) Work Plan – Key theme consultants

Stage 01 – Logical Framework (simultaneously to the Sample)

- Elaboration of a Reference Framework to indicate the main questions and subjects to be discussed in depth;
- Discussion with the General Coordination of the questions identified;
- Contributions to the definition of the Municipalities Sample

Stage 02 – Research

Phase 01 – Definition of the Methodology

- Participation in the design of the methodology and the strategic planning of the research in conjunction with the Technical Team;
- Participation in the First Workshop to discuss the methodology (Workshop 01);
- Preparation of an Information Report for the general methodological proposal – identification of principal sources and indicators, methodological contributions for addressing issues concerning the key theme and the possibilities of articulation with the remaining major themes);
- Definition of the demand for methodological information, as and when necessary, in the form of methodological papers from strategic institutions;
- Participation in the workshop to finalise the methodology (Workshop 2)

Phase 02 –Application of the methodology

- To provide information on the key subjects for applying the methodology to the Sample of Municipalities ;
- Indicate key themes and forms of analysis. Conclusions.

Stage 03 – Preparation of the Report

Phase 01 – Listing and discussing results of the research

- To analyse the results of the application of the methodology on the municipalities sample with regard to the content of the key themes
- To participate in a workshop for presentation/discussion of research results (Workshop 03).

Phase 02 – Preparation of the draft version

- To prepare the preliminary version of the State of the Cities Report
- To participate in discussions on the draft version (Workshop 04).
- To prepare a Critical Report concerning an analysis of the main theme (and its links with the remaining themes) produced by the Technical Team
- To assess the demand for key thematic information, as and when necessary, in the form of papers prepared by strategic institutions

Phase 03 – Preparation of the final version

- To follow up, guide and collaborate with the final version of the State of the Cities Report .



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